

## ETHICS COMMISSION

### GOVERNOR'S BUDGET RECOMMENDATIONS

Source of Funds	FY21 Adjusted Base	FY22 Recommended	% Change Over FY21	FY23 Recommended	% Change Over FY22
GPR	925,000	956,200	3.4	956,200	0.0
PR-O	525,600	610,800	16.2	643,200	5.3
<b>TOTAL</b>	<b>1,450,600</b>	<b>1,567,000</b>	<b>8.0</b>	<b>1,599,400</b>	<b>2.1</b>

### FULL-TIME EQUIVALENT POSITION SUMMARY

Source of Funds	FY21 Adjusted Base	FY22 Recommended	FTE Change Over FY21	FY23 Recommended	FTE Change Over FY22
GPR	4.55	4.55	0.00	4.55	0.00
PR-O	3.45	4.45	1.00	4.45	0.00
<b>TOTAL</b>	<b>8.00</b>	<b>9.00</b>	<b>1.00</b>	<b>9.00</b>	<b>0.00</b>

### AGENCY DESCRIPTION

The commission is comprised of six members, who serve for five-year terms. One member is appointed by the Senate majority leader; one is appointed by the Senate minority leader; one is appointed by the speaker of the Assembly; one is appointed by the Assembly minority leader; and two are individuals who formerly served as judges for a court of record in this state, who were elected to the positions in which they served, and who are nominated by the Governor with the advice and consent of a majority of the members of the Senate. The transition plan from the Government Accountability Board to the commission put forth by the Department of Administration established a rotational term schedule of the commissioners. The commission elects a chair and vice-chair from its members by a majority vote.

The administrator serves as the agency head, and is responsible for the daily operations of the commission. The commission staff is nonpartisan. The commission administers and enforces Wisconsin law pertaining to campaign finance, lobbying and ethics.

Commission activities are arranged by five general functions: general administration; assistance to state public officials, candidates, lobbyists, principals, political committees and officeholders; assistance to local governments; education and training; and enforcement. Within these functional areas, the commission develops policy, issues formal and informal opinions, promulgates administrative rules, prescribes procedures and forms, audits disclosure reports, carries out investigations, conducts hearings and reviews appeals, brings civil actions to assess forfeitures, and addresses other related activities.

## MISSION

The mission of the commission is to promote and strengthen the faith and confidence of the people of Wisconsin in their government; support the operation of open and responsible government; preserve the integrity of the governmental decision making process; and protect the rights of individuals through the administration of Wisconsin's campaign finance, lobbying and ethics laws and through readily available and understandable disclosure of information.

## PROGRAMS, GOALS, OBJECTIVES AND ACTIVITIES

### Program 1: Ethics, Campaign Finance and Lobbying Regulation

Goal: Facilitate compliance with the requirements of Wisconsin's campaign finance, lobbying and ethics laws.

Objective/Activity: Provide training, educational materials and assistance to the regulated community and the public, focusing on applicable registration and reporting requirements.

Objective/Activity: Conduct timely audits of registration and report information, consistent with statutory requirements.

Objective/Activity: Provide formal and informal advisory opinions, as well as informal guidance, in a timely manner upon request.

Goal: Support the operation of open and responsible government, preserve the integrity of governmental decision making and protect the rights of individuals.

Objective/Activity: Promptly make reported campaign finance, lobbying and financial disclosure information publicly available.

Objective/Activity: Maintain the Wisconsin Campaign Finance Information System (CFIS), Eye on Lobbying Web site and Statements of Economic Interests Web site in order to facilitate the registration and reporting requirements under applicable Wisconsin statutes, as well as making the disclosure of reported information readily accessible and understandable.

Objective/Activity: Investigate complaints of possible violations of the ethics code, lobbying law and campaign finance law and seek appropriate remedies as circumstances merit.

## PERFORMANCE MEASURES

### 2019 AND 2020 GOALS AND ACTUALS

Prog. No.	Performance Measure	Goal 2019	Actual 2019	Goal 2020	Actual 2020
1.	Percentage of fees received by electronic payment methods.	50%	71%	55%	87%
1.	Percentage of campaign finance reports filed on or before required date.	92%	94%	95%	90.3%
1.	Percentage of committees in compliance, based on audit findings.	90%	95.6%	93%	93.8%

<b>Prog. No.</b>	<b>Performance Measure</b>	<b>Goal 2019</b>	<b>Actual 2019</b>	<b>Goal 2020</b>	<b>Actual 2020</b>
1.	Percentage of lobbyist time reports completed on or before the required date.	99%	99.9%	99%	99.8%
1.	Percentage of registered principals completing their Statements of Lobbying Activities and Expenditures on or before the required date.	99%	99.3%	99%	98.3%
1.	Percentage of principals in compliance, based on audit findings.	99%	96.7%	99%	99.5%
1.	Percentage of required Statements of Economic Interest filed on or before the required date.	96%	92%	97%	95.5%
1.	Statements of Economic Interest filed electronically.	90%	92%	92%	93.7%

Note: Based on fiscal year.

#### 2021, 2022 AND 2023 GOALS

<b>Prog. No.</b>	<b>Performance Measure</b>	<b>Goal 2021<sup>1</sup></b>	<b>Goal 2022</b>	<b>Goal 2023</b>
1.	Percentage of fees received by electronic payment methods.	85%	90%	95%
1.	Percentage of campaign finance reports filed on or before required date.	96%	96%	97%
1.	Percentage of committees in compliance, based on audit findings.	95%	95%	96%
1.	Percentage of lobbyist time reports completed on or before the required date.	99%	99%	99%
1.	Percentage of registered principals completing their Statements of Lobbying Activities and Expenditures on or before the required date.	99%	99%	99%
1.	Percentage of principals in compliance, based on audit findings.	99%	99%	99%
1.	Percentage of required Statements of Economic Interest filed on or before the required date.	96%	96%	97%
1.	Statements of Economic Interest filed electronically.	95%	96%	97%

Note: Based on fiscal year.

<sup>1</sup>Goals for 2021 have been revised.

## **ETHICS COMMISSION**

### **GOVERNOR'S BUDGET RECOMMENDATIONS**

#### **RECOMMENDATIONS**

1. Deputy Administrator Position
2. Standard Budget Adjustments

**Table 1**  
**Department Budget Summary by Funding Source (in thousands of dollars)**

	ACTUAL FY20	ADJUSTED BASE FY21	AGENCY REQUEST FY22	AGENCY REQUEST FY23	GOVERNOR'S RECOMMENDATION FY22	GOVERNOR'S RECOMMENDATION FY23
GENERAL PURPOSE REVENUE	\$689.2	\$925.0	\$956.2	\$956.2	\$956.2	\$956.2
State Operations	689.2	925.0	956.2	956.2	956.2	956.2
PROGRAM REVENUE (2)	\$512.3	\$525.6	\$610.8	\$643.2	\$610.8	\$643.2
State Operations	512.3	525.6	610.8	643.2	610.8	643.2
TOTALS - ANNUAL	\$1,201.6	\$1,450.6	\$1,567.0	\$1,599.4	\$1,567.0	\$1,599.4
State Operations	1,201.6	1,450.6	1,567.0	1,599.4	1,567.0	1,599.4

(2) Includes Program Revenue-Service and Program Revenue-Other

**Table 2**  
**Department Position Summary by Funding Source (in FTE positions) (4)**

	ADJUSTED BASE FY21	AGENCY REQUEST FY22	AGENCY REQUEST FY23	GOVERNOR'S RECOMMENDATION FY22	GOVERNOR'S RECOMMENDATION FY23
GENERAL PURPOSE REVENUE	4.55	4.55	4.55	4.55	4.55
PROGRAM REVENUE (2)	3.45	4.45	4.45	4.45	4.45
TOTALS - ANNUAL	8.00	9.00	9.00	9.00	9.00

(2) Includes Program Revenue-Service and Program Revenue-Other

(4) All positions are State Operations unless otherwise specified

**Table 3**  
**Department Budget Summary by Program (in thousands of dollars)**

	ACTUAL FY20	ADJUSTED BASE FY21	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
			FY22	FY23	FY22	FY23
1. Ethics, campaign finance and lobbying regulation	\$1,201.6	\$1,450.6	\$1,567.0	\$1,599.4	\$1,567.0	\$1,599.4
<b>TOTALS</b>	\$1,201.6	\$1,450.6	\$1,567.0	\$1,599.4	\$1,567.0	\$1,599.4

**Table 4**  
**Department Position Summary by Program (in FTE positions) (4)**

	ADJUSTED BASE FY21	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
		FY22	FY23	FY22	FY23
1. Ethics, campaign finance and lobbying regulation	8.00	9.00	9.00	9.00	9.00
<b>TOTALS</b>	8.00	9.00	9.00	9.00	9.00

(4) All positions are State Operations unless otherwise specified

**1. Deputy Administrator Position**

Source of Funds	Agency Request				Governor's Recommendations			
	FY22		FY23		FY22		FY23	
	Dollars	Positions	Dollars	Positions	Dollars	Positions	Dollars	Positions
PR-O	97,600	1.00	130,000	1.00	97,600	1.00	130,000	1.00
TOTAL	97,600	1.00	130,000	1.00	97,600	1.00	130,000	1.00

The Governor recommends creating an unclassified deputy administrator position and increasing fees for lobbying principals and authorization statements to assist in meeting increased workload related to complaints and requests for advice.

**2. Standard Budget Adjustments**

Source of Funds	Agency Request				Governor's Recommendations			
	FY22		FY23		FY22		FY23	
	Dollars	Positions	Dollars	Positions	Dollars	Positions	Dollars	Positions
GPR	31,200	0.00	31,200	0.00	31,200	0.00	31,200	0.00
PR-O	-12,400	0.00	-12,400	0.00	-12,400	0.00	-12,400	0.00
TOTAL	18,800	0.00	18,800	0.00	18,800	0.00	18,800	0.00

The Governor recommends adjusting the commission's base budget for: (a) full funding of continuing position salaries and fringe benefits (\$18,800 in each year); and (b) full funding of lease and directed moves costs (no net fiscal effect).