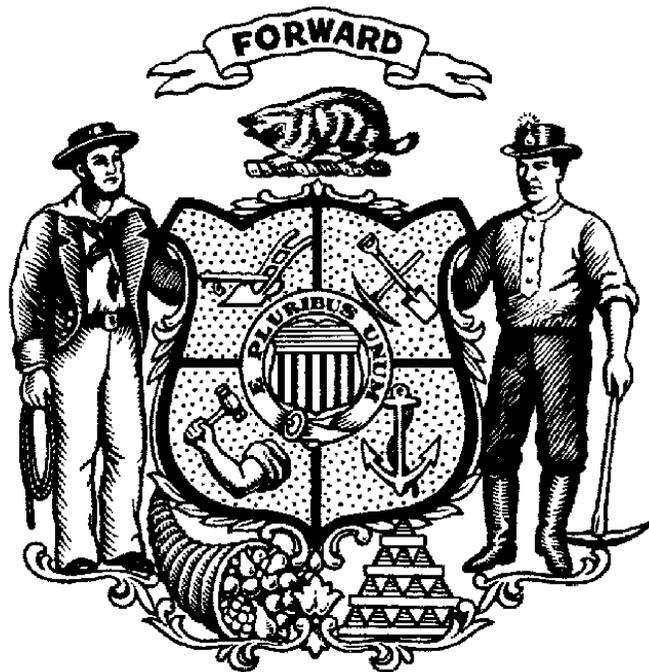


State of Wisconsin

Department of Financial Institutions



Agency Budget Request
2017 – 2019 Biennium
September 15, 2016

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State of Wisconsin
Department of Financial Institutions

Scott Walker, Governor

Lon E. Roberts, Secretary

September 15, 2016

The Honorable Governor Scott Walker
115 East, State Capitol
Madison, WI 53707

Dear Governor Walker:

I am pleased to submit the 2017-19 Biennial Budget Request for the Wisconsin Department of Financial Institutions and the Office of Credit Unions.

DFI's proposed biennial budget keeps expenditures and staffing at current levels and, as has been the case with past biennial budget submissions, our request requires no general fund resources.

Respectfully submitted,

A handwritten signature in cursive script that reads "Lon E. Roberts".

Lon E. Roberts
Secretary

AGENCY DESCRIPTION

The department is headed by a secretary who is appointed by the Governor with the advice and consent of the Senate. The department operates with four regulatory and licensing divisions and is supported by the Division of Administrative Services and Technology. The Office of Credit Unions is attached to the department for administrative purposes. The department's functions include the regulation and examination of state-chartered depository institutions, licensing of other financial service providers, registration of securities offerings, issuance of notary public commissions, registration of trademarks, registration of charitable organizations, and support and maintenance of the state's central business registration and uniform commercial code filing systems. In addition, the secretary is the administrator of the Wisconsin Consumer Act, governing consumer transactions under \$25,000. The department also houses the Office of Financial Literacy, which promotes financial literacy as a life skill and provides information to the public on matters of personal finance, investor protection, and the affordability of a post-secondary education.

MISSION

The department's mission is to ensure the safety and soundness of state-chartered financial institutions, to protect consumers of financial services, and to facilitate economic growth.

PROGRAMS, GOALS, OBJECTIVES AND ACTIVITIES

Program 1: Supervision of Financial Institutions, Securities Regulation and Other Functions

Goal: Ensure the safety and soundness of state-chartered banks.

Objective/Activity: Examine state banks and savings institutions for compliance with laws and any issues related to the condition of the financial institutions within established time frames. All banks are to be examined at least every 18 months, with more frequent examinations of larger, more complex institutions. The banking division has entered into alternating examination agreements with the Federal Deposit Insurance Corporation (FDIC) and the Federal Reserve, so approximately 40 percent of all banks should be examined by the department in each calendar year in order to meet that standard.

Goal: Protect the consumers of financial services.

Objective/Activity: Address abuses and violations of rules and statutes through expedient resolution of consumer complaints by the Bureau of Consumer Affairs.

Goal: Facilitate economic growth.

Objective/Activity: Process new business filings timely to support new business activity.

Goal: Ensure the safety and soundness of state-chartered credit unions.

Objective/Activity: Maintain cycle of examinations as required. Most credit unions are to be examined every 18 months. Credit unions identified as needing additional supervision are to be examined every 12 months.

PERFORMANCE MEASURES

2015 AND 2016 GOALS AND ACTUALS

Prog. No.	Performance Measure	Goal 2015	Actual 2015	Goal 2016	Actual 2016
1.	Percentage of banks examined within required 18-month cycle. ⁽¹⁾				
	Number of banks	199	199 ⁽²⁾	183	183 ⁽²⁾
	Bank exams due	87	87	72	72 ⁽³⁾
	Number of exams	87	89	72	72 ⁽³⁾
	Percentage examined each year	100%	102%	100%	100% ⁽³⁾
1.	Percentage of consumer complaints acted on within five days of receipt	100%	100%	100%	100%
1.	Percentage of charter document filings processed within five days.	100%	100%	100%	100%
1.	Percentage of credit unions examined within required 18-month cycle. ⁽¹⁾				
	Number of credit unions	171	150 ⁽²⁾	150	140 ⁽²⁾
	Credit union exams due	101	103	94	104 ⁽³⁾
	Number of exams	101	104	94	106 ⁽³⁾
	Percentage examined each year	100%	101%	100%	102% ⁽³⁾

2017, 2018 AND 2019 GOALS

Prog. No.	Performance Measure	Goal 2017	Goal 2018	Goal 2019
1.	Percentage of banks examined within required 18-month cycle. ⁽¹⁾			
	Number of banks	178 ⁽⁴⁾	173 ⁽⁴⁾	168 ⁽⁴⁾
	Bank exams due	67	65	63
	Number of exams	67	65	63
	Percentage examined each year	100%	100%	100%
1.	Percentage of consumer complaints acted on within five days of receipt	100%	100%	100%
1.	Percentage of charter document filings processed within five days.	100%	100%	100%

Prog. No.	Performance Measure	Goal 2017	Goal 2018	Goal 2019
1.	Percentage of credit unions examined within required 18-month cycle. ⁽¹⁾	135 ⁽⁴⁾	130 ⁽⁴⁾	125 ⁽⁴⁾
	Number of credit unions	90	87	84
	Credit union exams due	90	87	84
	Number of exams	100%	100%	100%
	Percentage examined each year			

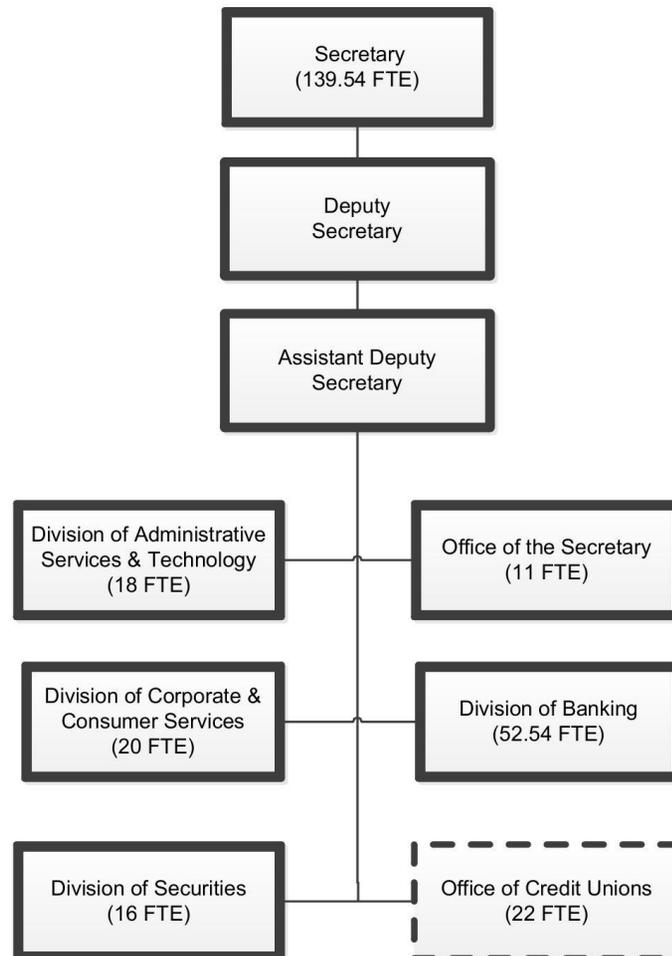
⁽¹⁾ Based on calendar year.

⁽²⁾ Decrease in number of institutions is due to mergers, acquisitions or closings.

⁽³⁾ Projected 2016 year-end totals

⁽⁴⁾ Decrease in number of institutions is due to projected mergers, acquisitions or closings.

Department of Financial Institutions



Agency Total by Fund Source

Department of Financial Institutions

1719 Biennial Budget

ANNUAL SUMMARY						BIENNIAL SUMMARY					
Source of Funds		Prior Year Total	Adjusted Base	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE	Base Year Doubled (BYD)	Biennial Request	Change From (BYD)	Change From BYD %
PR	S	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.7%
Total		\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.7%
Grand Total		\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.7%

Agency Total by Program

144 Financial Institutions, Department of

1719 Biennial Budget

Source of Funds	ANNUAL SUMMARY						BIENNIAL SUMMARY			
	Prior Year Actual	Adjusted Base	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE	Base Year Doubled (BYD)	Biennial Request	Change From (BYD)	Change From BYD %
01 SUPERVISION OF FINANCIAL INSTITUTIONS, SECURITIES REGULATION AND OTHER FUNCTIONS										
Non Federal										
PR	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%
S	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%
Total - Non Federal	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%
S	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%
PGM 01 Total	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%
PR	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%
S	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%
TOTAL 01	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%
S	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%

Agency Total by Program

144 Financial Institutions, Department of

1719 Biennial Budget

Agency Total	\$16,108,571	\$18,809,300	\$18,546,500	\$18,806,400	139.54	139.54	\$37,618,600	\$37,352,900	(\$265,700)	-0.71%
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Agency Total by Decision Item

Department of Financial Institutions

1719 Biennial Budget

Decision Item	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
2000 Adjusted Base Funding Level	\$18,809,300	\$18,809,300	139.54	139.54
3001 Turnover Reduction	(\$269,000)	(\$269,000)	0.00	0.00
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	\$7,600	\$7,600	0.00	0.00
3010 Full Funding of Lease and Directed Moves Costs	(\$1,400)	\$258,500	0.00	0.00
TOTAL	\$18,546,500	\$18,806,400	139.54	139.54

GPR Earned

1719 Biennial Budget

	CODES	TITLES
DEPARTMENT	144	Department of Financial Institutions
PROGRAM	01	Supervision of financial institutions, securities regulation and other

DATE September 14, 2016

Revenue	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	\$0	\$0	\$0	\$0
	\$79,559,800	\$77,474,800	\$78,039,600	\$78,442,800
Total	\$79,559,800	\$77,474,800	\$78,039,600	\$78,442,800

Program Revenue

1719 Biennial Budget

	CODES	TITLES
DEPARTMENT	144	Department of Financial Institutions
PROGRAM	01	Supervision of financial institutions, securities regulation and other
SUBPROGRAM		
NUMERIC APPROPRIATION	21	Investor education and training fund

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	\$45,700	\$40,900	\$36,400	\$31,900
Assessments	\$0	\$80,000	\$80,000	\$80,000
Total Revenue	\$45,700	\$120,900	\$116,400	\$111,900
Expenditures	\$4,800	\$84,500	\$0	\$0
2000 Adjusted Base Funding Level	\$0	\$0	\$84,500	\$84,500
Total Expenditures	\$4,800	\$84,500	\$84,500	\$84,500
<u>Closing Balance</u>	\$40,900	\$36,400	\$31,900	\$27,400

Program Revenue

1719 Biennial Budget

	CODES	TITLES
DEPARTMENT	144	Department of Financial Institutions
PROGRAM	01	Supervision of financial institutions, securities regulation and other
SUBPROGRAM		
NUMERIC APPROPRIATION	23	Payday loan database and financial literacy

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	\$133,700	\$149,700	\$149,700	\$149,700
Database Fee	\$100,700	\$900,000	\$900,000	\$900,000
Total Revenue	\$234,400	\$1,049,700	\$1,049,700	\$1,049,700
Expenditures	\$84,663	\$900,000	\$0	\$0
2000 Adjusted Base Funding Level	\$0	\$0	\$900,000	\$900,000
Total Expenditures	\$84,663	\$900,000	\$900,000	\$900,000
<u>Closing Balance</u>	\$149,737	\$149,700	\$149,700	\$149,700

Program Revenue

1719 Biennial Budget

	CODES	TITLES
DEPARTMENT	144	Department of Financial Institutions
PROGRAM	01	Supervision of financial institutions, securities regulation and other
SUBPROGRAM		
NUMERIC APPROPRIATION	29	Gifts, grants, settlements and publications

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	\$1,792,000	\$1,233,500	\$1,061,800	\$1,061,800
PR Lapse	(\$500,000)	\$0	\$0	\$0
Program Revenues	\$248,200	\$58,500	\$58,500	\$58,500
Total Revenue	\$1,540,200	\$1,292,000	\$1,120,300	\$1,120,300
Expenditures	\$306,732	\$230,200	\$0	\$0
2000 Adjusted Base Funding Level	\$0	\$0	\$58,500	\$58,500
Total Expenditures	\$306,732	\$230,200	\$58,500	\$58,500
<u>Closing Balance</u>	\$1,233,468	\$1,061,800	\$1,061,800	\$1,061,800

Program Revenue

1719 Biennial Budget

	CODES	TITLES
DEPARTMENT	144	Department of Financial Institutions
PROGRAM	01	Supervision of financial institutions, securities regulation and other
SUBPROGRAM		
NUMERIC APPROPRIATION	31	General program operations

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	\$0	\$10,700	\$0	\$0
Corporation fees	\$21,327,300	\$20,950,000	\$20,950,000	\$20,950,000
Credit Union exams & assessments	\$3,492,700	\$3,794,100	\$3,800,000	\$3,800,000
Bank exams, assessments & applications	\$4,236,600	\$4,483,700	\$4,500,000	\$4,500,000
Other Fees	\$1,000	\$1,000	\$1,000	\$1,000
PR Lapse	(\$1,934,400)	\$0	\$0	\$0
Securities fees	\$57,544,100	\$58,000,000	\$59,000,000	\$60,000,000
Transfer to Aprn 20.575(1)(g)	(\$150,000)	(\$150,000)	(\$150,000)	(\$150,000)
Licensed financial services licenses	\$6,401,600	\$5,940,000	\$5,810,000	\$5,810,000
Transfer to General Fund	(\$77,125,400)	(\$77,474,800)	(\$78,039,600)	(\$78,442,800)
UCC, Notary & Trademarks	\$1,929,600	\$1,930,000	\$1,930,000	\$1,930,000
Total Revenue	\$15,723,100	\$17,484,700	\$17,801,400	\$18,398,200
Expenditures	\$15,712,376	\$17,484,700	\$0	\$0
2000 Adjusted Base Funding Level	\$0	\$0	\$17,766,300	\$17,766,300
3003 Full Funding of Continuing Position Salaries	\$0	\$0	\$7,600	\$7,600

and Fringe Benefits				
3010 Full Funding of Lease and Directed Moves Costs	\$0	\$0	(\$1,400)	\$258,500
Health Insurance Reserves	\$0	\$0	\$86,500	\$207,200
Compensation Reserve	\$0	\$0	\$211,400	\$427,000
Wisconsin Retirement System	\$0	\$0	\$0	\$600
3001 Turnover Reduction	\$0	\$0	(\$269,000)	(\$269,000)
Total Expenditures	\$15,712,376	\$17,484,700	\$17,801,400	\$18,398,200
<u>Closing Balance</u>	\$10,724	\$0	\$0	\$0

Decision Item (DIN) - 2000

Decision Item (DIN) Title - Adjusted Base Funding Level

NARRATIVE

Adjusted Base Funding Level

Decision Item by Line

1719 Biennial Budget

	CODES	TITLES
DEPARTMENT	144	Department of Financial Institutions
	CODES	TITLES
DECISION ITEM	2000	Adjusted Base Funding Level

Expenditure items		1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	\$8,966,800	\$8,966,800
02	Turnover	\$0	\$0
03	Project Position Salaries	\$0	\$0
04	LTE/Misc. Salaries	\$3,000	\$3,000
05	Fringe Benefits	\$3,473,100	\$3,473,100
06	Supplies and Services	\$5,555,400	\$5,555,400
07	Permanent Property	\$304,500	\$304,500
08	Unalloted Reserve	\$506,500	\$506,500
09	Aids to Individuals Organizations	\$0	\$0
10	Local Assistance	\$0	\$0
11	One-time Financing	\$0	\$0
12	Debt Service	\$0	\$0
13		\$0	\$0
14		\$0	\$0
15		\$0	\$0
16		\$0	\$0
17	Total Cost	\$18,809,300	\$18,809,300
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	130.54	130.54
20	Unclassified Positions Authorized	9.00	9.00

Decision Item by Numeric

Department of Financial Institutions

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	2000	Adjusted Base Funding Level			
01	Supervision of financial institutions, securities regulation and other functions				
	21 Investor education and training fund	\$84,500	\$84,500	0.00	0.00
	23 Payday loan database and financial literacy	\$900,000	\$900,000	0.00	0.00
	29 Gifts, grants, settlements and publications	\$58,500	\$58,500	0.00	0.00
	31 General program operations	\$17,766,300	\$17,766,300	139.54	139.54
	Supervision of financial institutions, securities regulation and other functions SubTotal	\$18,809,300	\$18,809,300	139.54	139.54
	Adjusted Base Funding Level SubTotal	\$18,809,300	\$18,809,300	139.54	139.54
	Agency Total	\$18,809,300	\$18,809,300	139.54	139.54

Decision Item by Fund Source

Department of Financial Institutions

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	2000	Adjusted Base Funding Level				
	PR	S	\$18,809,300	\$18,809,300	139.54	139.54
	Total		\$18,809,300	\$18,809,300	139.54	139.54
Agency Total			\$18,809,300	\$18,809,300	139.54	139.54

Decision Item (DIN) - 3001

Decision Item (DIN) Title - Turnover Reduction

NARRATIVE

Standard Budget Adjustment - Turnover Reduction

Decision Item by Line

1719 Biennial Budget

	CODES	TITLES
DEPARTMENT	144	Department of Financial Institutions
	CODES	TITLES
DECISION ITEM	3001	Turnover Reduction

Expenditure items		1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	\$0	\$0
02	Turnover	(\$269,000)	(\$269,000)
03	Project Position Salaries	\$0	\$0
04	LTE/Misc. Salaries	\$0	\$0
05	Fringe Benefits	\$0	\$0
06	Supplies and Services	\$0	\$0
07	Permanent Property	\$0	\$0
08	Unalloted Reserve	\$0	\$0
09	Aids to Individuals Organizations	\$0	\$0
10	Local Assistance	\$0	\$0
11	One-time Financing	\$0	\$0
12	Debt Service	\$0	\$0
13		\$0	\$0
14		\$0	\$0
15		\$0	\$0
16		\$0	\$0
17	Total Cost	(\$269,000)	(\$269,000)
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Financial Institutions

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	3001	Turnover Reduction			
01	Supervision of financial institutions, securities regulation and other functions				
	31 General program operations	(\$269,000)	(\$269,000)	0.00	0.00
	Supervision of financial institutions, securities regulation and other functions SubTotal	(\$269,000)	(\$269,000)	0.00	0.00
	Turnover Reduction SubTotal	(\$269,000)	(\$269,000)	0.00	0.00
	Agency Total	(\$269,000)	(\$269,000)	0.00	0.00

Decision Item by Fund Source

Department of Financial Institutions

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	3001	Turnover Reduction				
	PR	S	(\$269,000)	(\$269,000)	0.00	0.00
	Total		(\$269,000)	(\$269,000)	0.00	0.00
Agency Total			(\$269,000)	(\$269,000)	0.00	0.00

Decision Item (DIN) - 3003

Decision Item (DIN) Title - Full Funding of Continuing Position Salaries and Fringe Benefits

NARRATIVE

Standard Budget Adjustment - Full Funding of Continuing Position Salaries and Fringe Benefits

Decision Item by Line

1719 Biennial Budget

	CODES	TITLES
DEPARTMENT	144	Department of Financial Institutions
	CODES	TITLES
DECISION ITEM	3003	Full Funding of Continuing Position Salaries and Fringe Benefits

Expenditure items		1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	\$164,800	\$164,800
02	Turnover	\$0	\$0
03	Project Position Salaries	\$0	\$0
04	LTE/Misc. Salaries	\$0	\$0
05	Fringe Benefits	(\$157,200)	(\$157,200)
06	Supplies and Services	\$0	\$0
07	Permanent Property	\$0	\$0
08	Unalloted Reserve	\$0	\$0
09	Aids to Individuals Organizations	\$0	\$0
10	Local Assistance	\$0	\$0
11	One-time Financing	\$0	\$0
12	Debt Service	\$0	\$0
13		\$0	\$0
14		\$0	\$0
15		\$0	\$0
16		\$0	\$0
17	Total Cost	\$7,600	\$7,600
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Financial Institutions

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	3003	Full Funding of Continuing Position Salaries and Fringe Benefits			
01	Supervision of financial institutions, securities regulation and other functions				
	31 General program operations	\$7,600	\$7,600	0.00	0.00
	Supervision of financial institutions, securities regulation and other functions SubTotal	\$7,600	\$7,600	0.00	0.00
	Full Funding of Continuing Position Salaries and Fringe Benefits SubTotal	\$7,600	\$7,600	0.00	0.00
	Agency Total	\$7,600	\$7,600	0.00	0.00

Decision Item by Fund Source

Department of Financial Institutions

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	3003	Full Funding of Continuing Position Salaries and Fringe Benefits				
	PR	S	\$7,600	\$7,600	0.00	0.00
	Total		\$7,600	\$7,600	0.00	0.00
Agency Total			\$7,600	\$7,600	0.00	0.00

Decision Item (DIN) - 3010

Decision Item (DIN) Title - Full Funding of Lease and Directed Moves Costs

NARRATIVE

Standard Budget Adjustment - Full Funding of Lease and Directed Moves Costs

Decision Item by Line

1719 Biennial Budget

	CODES	TITLES
DEPARTMENT	144	Department of Financial Institutions
	CODES	TITLES
DECISION ITEM	3010	Full Funding of Lease and Directed Moves Costs

Expenditure items		1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	\$0	\$0
02	Turnover	\$0	\$0
03	Project Position Salaries	\$0	\$0
04	LTE/Misc. Salaries	\$0	\$0
05	Fringe Benefits	\$0	\$0
06	Supplies and Services	(\$1,400)	\$258,500
07	Permanent Property	\$0	\$0
08	Unalloted Reserve	\$0	\$0
09	Aids to Individuals Organizations	\$0	\$0
10	Local Assistance	\$0	\$0
11	One-time Financing	\$0	\$0
12	Debt Service	\$0	\$0
13		\$0	\$0
14		\$0	\$0
15		\$0	\$0
16		\$0	\$0
17	Total Cost	(\$1,400)	\$258,500
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Financial Institutions

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	3010	Full Funding of Lease and Directed Moves Costs			
01	Supervision of financial institutions, securities regulation and other functions				
	31 General program operations	(\$1,400)	\$258,500	0.00	0.00
	Supervision of financial institutions, securities regulation and other functions SubTotal	(\$1,400)	\$258,500	0.00	0.00
	Full Funding of Lease and Directed Moves Costs SubTotal	(\$1,400)	\$258,500	0.00	0.00
	Agency Total	(\$1,400)	\$258,500	0.00	0.00

Decision Item by Fund Source

Department of Financial Institutions

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	3010	Full Funding of Lease and Directed Moves Costs				
	PR	S	(\$1,400)	\$258,500	0.00	0.00
	Total		(\$1,400)	\$258,500	0.00	0.00
Agency Total			(\$1,400)	\$258,500	0.00	0.00

ACT 201

Proposal under s. 16.42(4)(b)2.: **0% change in each fiscal year**

FY: **FY18**

Agency: **DFI - 144**

Exclusions: Federal
Debt Service

Columns A-G were prepopulated for agencies to reflect state operations adjusted base and reductions agreed to by DOA and LFB. See Appendix H for instructions on how to complete these templates.

Proposed \$ and Proposed FTE columns reflect total agency proposed spending and positions for indicated fiscal year. These amounts should include standard budget adjustments (SBAs), any proposed reallocations or reductions to meet the target, and any other requests that the agency would want considered under this proposal.

Appropriations with zero dollars and zero FTEs are not loaded into the template. If you have any questions, contact your SBO analyst.

IF YOUR AGENCY PLANS TO TAKE THE SAME CUTS OVER BOTH YEARS, YOU SHOULD ONLY FILL OUT ONE GRID FOR 0% GROWTH AND ONE GRID FOR 5% REDUCTION, THEN CHANGE FY18 TO FY18 AND 19.

Agency	Appropriation		Fund Source	Adjusted Base		(See Note 1)	Proposed Budget 2017-18		Item Ref.	Change from Adj Base		(See Note 2)		Change from Adjusted Base after Removal of SBAs	
	Alpha	Numeric		\$	FTE	0% Change Target	Proposed \$	Proposed FTE		\$	FTE	\$	FTE		
144	1g	131	PR	17,766,300	139.54	0	17,503,500	139.54		(262,800)	0.00	262,800	0.00	0	0.00
144	1h	129	PR	58,500	0.00	0	58,500	0.00		0	0.00	0	0.00	0	0.00
144	1i	121	PR	84,500	0.00	0	84,500	0.00		0	0.00	0	0.00	0	0.00
144	1j	123	PR	900,000	0.00	0	900,000	0.00		0	0.00	0	0.00	0	0.00
Totals				18,809,300	139.54	0	18,546,500	139.54		(262,800)	0.00	262,800	0.00	0	0.00

Note 1: Reduction target must be met within state operations appropriations, but may be allocated across those appropriations and fund sources.

Note 2: Amounts should be SBAs (DINs 3001 - 3011) from agency request multiplied by -1.

Target Reduction = 0

Difference = 0
Should equal \$0

Items - Describe proposed changes (excl. SBAs) to reach target or other priorities of agency

- 1
- 2
- 3

ACT 201

Proposal under s. 16.42(4)(b)1.: 5% change in each fiscal year

FY: **FY18**

Agency: **DFI - 144**

Exclusions: Federal
Debt Service

Columns A-G were prepopulated for agencies to reflect state operations adjusted base and reductions agreed to by DOA and LFB. See Appendix H for instructions on how to complete these templates.

Proposed \$ and Proposed FTE columns reflect total agency proposed spending and positions for indicated fiscal year. These amounts should include standard budget adjustments (SBAs), any proposed reallocations or reductions to meet the target, and any other requests that the agency would want considered under this proposal.

Appropriations with zero dollars and zero FTEs are not loaded into the template. If you have any questions, contact your SBO analyst.

IF YOUR AGENCY PLANS TO TAKE THE SAME CUTS OVER BOTH YEARS, YOU SHOULD ONLY FILL OUT ONE GRID FOR 0% GROWTH AND ONE GRID FOR 5% REDUCTION, THEN CHANGE FY18 TO FY18 AND 19.

Agency	Appropriation		Fund Source	Adjusted Base		(See Note 1)	Proposed Budget 2017-18		Item Ref.	Change from Adj Base		(See Note 2)		Change from Adjusted Base after Removal of SBAs	
	Alpha	Numeric		\$	FTE	5% Reduction Target	Proposed \$	Proposed FTE		\$	FTE	Remove SBAs	FTE	\$	FTE
144	1g	131	PR	17,766,300	139.54	(888,300)	16,563,100	139.54		(1,203,200)	0.00	262,800	0.00	(940,400)	0.00
144	1h	129	PR	58,500	0.00	(2,900)	58,500	0.00		0	0.00	0	0.00	0	0.00
144	1i	121	PR	84,500	0.00	(4,200)	84,500	0.00		0	0.00	0	0.00	0	0.00
144	1j	123	PR	900,000	0.00	(45,000)	900,000	0.00		0	0.00	0	0.00	0	0.00
Totals				18,809,300	139.54	(940,400)	17,606,100	139.54		(1,203,200)	0.00	262,800	0.00	(940,400)	0.00

Note 1: Reduction target must be met within state operations appropriations, but may be allocated across those appropriations and fund sources.

Note 2: Amounts should be SBAs (DINs 3001 - 3011) from agency request multiplied by -1.

Target Reduction = (940,400)

Difference = **0**
Should equal \$0

Items - Describe proposed changes (excl. SBAs) to reach target or other priorities of agency

- 1 Vacancy savings, travel, and other reductions.
- 2
- 3
- 4
- 5

ACT 201

Proposal under s. 16.42(4)(b)2.: **0% change in each fiscal year**

FY: **FY19**

Agency: **DFI - 144**

Exclusions: Federal
Debt Service

Columns A-G were prepopulated for agencies to reflect state operations adjusted base and reductions agreed to by DOA and LFB. See Appendix H for instructions on how to complete these templates.

Proposed \$ and Proposed FTE columns reflect total agency proposed spending and positions for indicated fiscal year. These amounts should include standard budget adjustments (SBAs), any proposed reallocations or reductions to meet the target, and any other requests that the agency would want considered under this proposal.

Appropriations with zero dollars and zero FTEs are not loaded into the template. If you have any questions, contact your SBO analyst.

IF YOUR AGENCY PLANS TO TAKE THE SAME CUTS OVER BOTH YEARS, YOU SHOULD ONLY FILL OUT ONE GRID FOR 0% GROWTH AND ONE GRID FOR 5% REDUCTION, THEN CHANGE FY18 TO FY18 AND 19.

Agency	Appropriation		Fund Source	Adjusted Base		(See Note 1)	Proposed Budget 2017-18		Item Ref.	Change from Adj Base		(See Note 2)		Change from Adjusted Base after Removal of SBAs	
	Alpha	Numeric		\$	FTE	0% Change Target	Proposed \$	Proposed FTE		\$	FTE	Remove SBAs	FTE	\$	FTE
144	1g	131	PR	17,766,300	139.54	0	17,763,400	139.54		(2,900)	0.00	2,900	0.00	0	0.00
144	1h	129	PR	58,500	0.00	0	58,500	0.00		0	0.00	0	0.00	0	0.00
144	1i	121	PR	84,500	0.00	0	84,500	0.00		0	0.00	0	0.00	0	0.00
144	1j	123	PR	900,000	0.00	0	900,000	0.00		0	0.00	0	0.00	0	0.00
Totals				18,809,300	139.54	0	18,806,400	139.54		(2,900)	0.00	2,900	0.00	0	0.00

Note 1: Reduction target must be met within state operations appropriations, but may be allocated across those appropriations and fund sources.

Note 2: Amounts should be SBAs (DINs 3001 - 3011) from agency request multiplied by -1.

Target Reduction = 0

Difference = 0
Should equal \$0

Items - Describe proposed changes (excl. SBAs) to reach target or other priorities of agency

- 1
- 2
- 3

ACT 201

Proposal under s. 16.42(4)(b)1.: 5% change in each fiscal year

FY: **FY19**

Agency: **DFI - 144**

Exclusions: Federal
Debt Service

Columns A-G were prepopulated for agencies to reflect state operations adjusted base and reductions agreed to by DOA and LFB. See Appendix H for instructions on how to complete these templates.

Proposed \$ and Proposed FTE columns reflect total agency proposed spending and positions for indicated fiscal year. These amounts should include standard budget adjustments (SBAs), any proposed reallocations or reductions to meet the target, and any other requests that the agency would want considered under this proposal.

Appropriations with zero dollars and zero FTEs are not loaded into the template. If you have any questions, contact your SBO analyst.

IF YOUR AGENCY PLANS TO TAKE THE SAME CUTS OVER BOTH YEARS, YOU SHOULD ONLY FILL OUT ONE GRID FOR 0% GROWTH AND ONE GRID FOR 5% REDUCTION, THEN CHANGE FY18 TO FY18 AND 19.

Agency	Appropriation		Fund Source	Adjusted Base		(See Note 1)	Proposed Budget 2017-18		Item Ref.	Change from Adj Base		(See Note 2)		Change from Adjusted Base after Removal of SBAs	
	Alpha	Numeric		\$	FTE	5% Reduction Target	Proposed \$	Proposed FTE		\$	FTE	Remove SBAs	FTE	\$	FTE
144	1g	131	PR	17,766,300	139.54	(888,300)	16,823,000	139.54		(943,300)	0.00	2,900	0.00	(940,400)	0.00
144	1h	129	PR	58,500	0.00	(2,900)	58,500	0.00		0	0.00	0	0.00	0	0.00
144	1i	121	PR	84,500	0.00	(4,200)	84,500	0.00		0	0.00	0	0.00	0	0.00
144	1j	123	PR	900,000	0.00	(45,000)	900,000	0.00		0	0.00	0	0.00	0	0.00
Totals				18,809,300	139.54	(940,400)	17,866,000	139.54		(943,300)	0.00	2,900	0.00	(940,400)	0.00

Note 1: Reduction target must be met within state operations appropriations, but may be allocated across those appropriations and fund sources.

Note 2: Amounts should be SBAs (DINs 3001 - 3011) from agency request multiplied by -1.

Target Reduction = (940,400)

Difference = **0**
Should equal \$0

Items - Describe proposed changes (excl. SBAs) to reach target or other priorities of agency

- 1 Vacancy savings, travel, and other reductions.
- 2
- 3
- 4
- 5