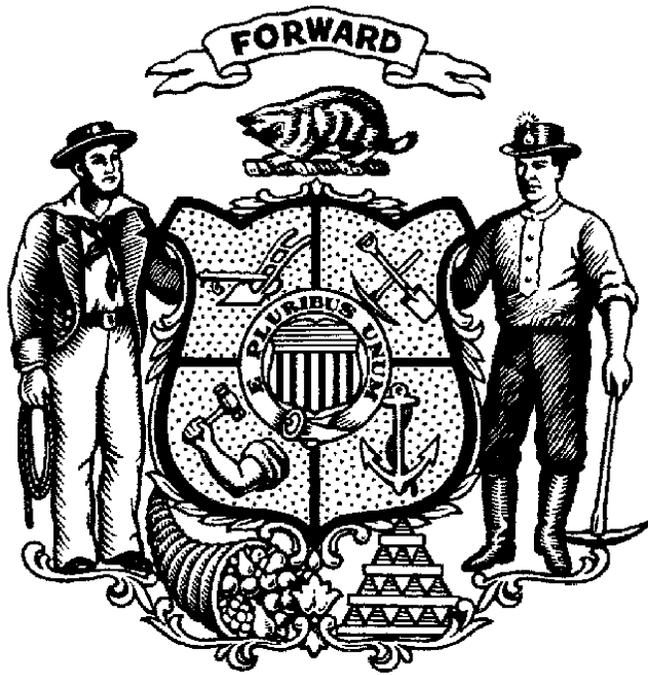


# State of Wisconsin

## Judicial Commission



Agency Budget Request  
2013 – 2015 Biennium  
September 17, 2012

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**STATE OF WISCONSIN  
JUDICIAL COMMISSION**

Suite 700, Tenney Building  
110 East Main Street  
Madison, Wisconsin 53703

**James C. Alexander**  
Executive Director

Phone: (608) 266-7637  
Fax: (608) 266-8647

**MEMORANDUM**

DATE: September 17, 2012  
TO: Peter Kirby  
FROM: James C. Alexander  
RE: 2013-2015 Budget Request



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Enclosed are four copies of the Judicial Commission's 2013-15 budget request.

Thank you.

cc: Legislative Fiscal Bureau (2 copies)

## **AGENCY DESCRIPTION**

The Wisconsin Judicial Commission investigates and prosecutes allegations of misconduct or disability on the part of Wisconsin judges and court commissioners. A judge's or court commissioner's conduct is measured primarily by Supreme Court Rule, Chapter 60, Wisconsin Code of Judicial Conduct. The nine-member commission consists of five members appointed by the Governor with the advice and consent of the Senate and four members appointed by the Supreme Court.

The commission, through its executive director, receives and responds to numerous inquiries from judges, attorneys, court commissioners and other citizens on the issue of judicial conduct. The commission does not issue advisory opinions, but the executive director does respond to requests for informal guidance by judges and court commissioners on the propriety of contemplated conduct. In 2011, 286 requests for informal guidance were received and responded to by the executive director. The commission encourages such communications between its staff and judges, court commissioners and the public.

## **MISSION**

The mission of the Commission is to hold Wisconsin judges and court commissioners accountable for violations of the Wisconsin Code of Judicial Conduct while maintaining the independence of the judiciary, which is necessary to the proper functioning of a democracy.

The Commission also strives to strengthen the Wisconsin judiciary and the public's confidence in it by creating a greater awareness, on the part of both the judiciary and the public, of what constitutes proper and improper judicial conduct.

## **PROGRAMS, GOALS, OBJECTIVES AND ACTIVITIES**

### **Program 1: Judicial Conduct**

Goal: Respond in an appropriate and timely manner to increasing caseload with minimal staff.

Goal: Participate in judicial education programs by providing ethics presentations to reduce the incidence of judicial misconduct.

Goal: Increase awareness of informal guidance to judges on ethics issues.

## PERFORMANCE MEASURES

### 2011 and 2012 GOALS and ACTUALS

Prog. No.	Performance Measure	Goal 2011	Actual 2011	Goal 2012	Actual 2012
1.	Number of complaints received.	450	492	450	298 <sup>1</sup>
1.	Investigations pending.	30	48	30	44 <sup>1</sup>
1.	Requests for advice.	250	286	275	194 <sup>1</sup>

1. Based on calendar year through August, 2012

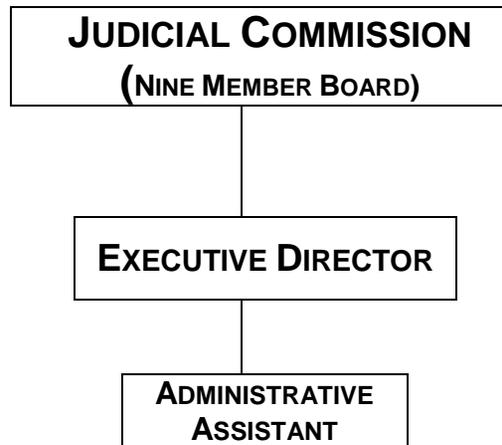
### 2013, 2014 and 2015 GOALS<sup>1</sup>

Prog. No.	Performance Measure	Goal 2013	Goal 2014	Goal 2015
1.	Number of complaints received.	475	475	475
1.	Investigations pending.	26	26	26
1.	Requests for advice.	325	325	325

1. Based on calendar year.

# STATE OF WISCONSIN JUDICIAL COMMISSION

## ORGANIZATIONAL CHART



# Agency Total by Fund Source

Judicial Commission

1315 Biennial Budget

		ANNUAL SUMMARY						BIENNIAL SUMMARY			
Source of Funds		Prior Year Total	Adjusted Base	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE	Base Year Doubled (BYD)	Biennial Request	Change From (BYD)	Change From BYD %
GPR	S	\$361,006	\$290,900	\$301,700	\$302,200	2.00	2.00	\$581,800	\$603,900	\$22,100	3.8%
<b>Total</b>		\$361,006	\$290,900	\$301,700	\$302,200	2.00	2.00	\$581,800	\$603,900	\$22,100	3.8%
<b>Grand Total</b>		\$361,006	\$290,900	\$301,700	\$302,200	2.00	2.00	\$581,800	\$603,900	\$22,100	3.8%

**Agency Total by Program**

**665 Judicial Commission**

**1315 Biennial Budget**

Source of Funds	ANNUAL SUMMARY						BIENNIAL SUMMARY			
	Prior Year Actual	Adjusted Base	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE	Base Year Doubled (BYD)	Biennial Request	Change From (BYD)	Change From BYD %
<b>01 JUDICIAL CONDUCT</b>										
<b>Non Federal</b>										
<b>GPR</b>	<b>\$361,006</b>	<b>\$290,900</b>	<b>\$301,700</b>	<b>\$302,200</b>	<b>2.00</b>	<b>2.00</b>	<b>\$581,800</b>	<b>\$603,900</b>	<b>\$22,100</b>	<b>3.80%</b>
S	\$361,006	\$290,900	\$301,700	\$302,200	2.00	2.00	\$581,800	\$603,900	\$22,100	3.80%
<b>Total - Non Federal</b>	<b>\$361,006</b>	<b>\$290,900</b>	<b>\$301,700</b>	<b>\$302,200</b>	<b>2.00</b>	<b>2.00</b>	<b>\$581,800</b>	<b>\$603,900</b>	<b>\$22,100</b>	<b>3.80%</b>
S	\$361,006	\$290,900	\$301,700	\$302,200	2.00	2.00	\$581,800	\$603,900	\$22,100	3.80%
<b>PGM 01 Total</b>	<b>\$361,006</b>	<b>\$290,900</b>	<b>\$301,700</b>	<b>\$302,200</b>	<b>2.00</b>	<b>2.00</b>	<b>\$581,800</b>	<b>\$603,900</b>	<b>\$22,100</b>	<b>3.80%</b>
<b>GPR</b>	<b>\$361,006</b>	<b>\$290,900</b>	<b>\$301,700</b>	<b>\$302,200</b>	<b>2.00</b>	<b>2.00</b>	<b>\$581,800</b>	<b>\$603,900</b>	<b>\$22,100</b>	<b>3.80%</b>
S	\$361,006	\$290,900	\$301,700	\$302,200	2.00	2.00	\$581,800	\$603,900	\$22,100	3.80%
<b>TOTAL 01</b>	<b>\$361,006</b>	<b>\$290,900</b>	<b>\$301,700</b>	<b>\$302,200</b>	<b>2.00</b>	<b>2.00</b>	<b>\$581,800</b>	<b>\$603,900</b>	<b>\$22,100</b>	<b>3.80%</b>
S	\$361,006	\$290,900	\$301,700	\$302,200	2.00	2.00	\$581,800	\$603,900	\$22,100	3.80%
<b>Agency Total</b>	<b>\$361,006</b>	<b>\$290,900</b>	<b>\$301,700</b>	<b>\$302,200</b>	<b>2.00</b>	<b>2.00</b>	<b>\$581,800</b>	<b>\$603,900</b>	<b>\$22,100</b>	<b>3.80%</b>

# Agency Total by Decision Item

Judicial Commission

1315 Biennial Budget

Decision Item	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
2000 Adjusted Base Funding Level	\$290,900	\$290,900	2.00	2.00
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	\$4,300	\$4,300	0.00	0.00
3010 Full Funding of Lease and Directed Moves Costs	\$2,500	\$3,000	0.00	0.00
4003 Supplies and Services Increase	\$4,000	\$4,000	0.00	0.00
<b>TOTAL</b>	<b>\$301,700</b>	<b>\$302,200</b>	<b>2.00</b>	<b>2.00</b>

**Decision Item (DIN) - 2000**

**Decision Item (DIN) Title - Adjusted Base Funding Level**

**NARRATIVE**

Adjusted Base Funding Level

**Decision Item by Line**

**1315 Biennial Budget**

	<b>CODES</b>	<b>TITLES</b>
<b>DEPARTMENT</b>	665	Judicial Commission
	<b>CODES</b>	<b>TITLES</b>
<b>DECISION ITEM</b>	2000	Adjusted Base Funding Level

<b>Expenditure items</b>		<b>1st Year Cost</b>	<b>2nd Year Cost</b>
01	Permanent Position Salaries	\$125,300	\$125,300
02	Turnover	\$0	\$0
03	Project Position Salaries	\$0	\$0
04	LTE/Misc. Salaries	\$0	\$0
05	Fringe Benefits	\$54,800	\$54,800
06	Supplies and Services	\$110,300	\$110,300
07	Permanent Property	\$500	\$500
08	Unallotted Reserve	\$0	\$0
09	Aids to Individuals Organizations	\$0	\$0
10	Local Assistance	\$0	\$0
11	One-time Financing	\$0	\$0
12	Debt Service	\$0	\$0
16		\$0	\$0
<b>17</b>	<b>Total Cost</b>	<b>\$290,900</b>	<b>\$290,900</b>
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	2.00	2.00

# Decision Item by Numeric

## Judicial Commission

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	<b>2000</b>	<b>Adjusted Base Funding Level</b>			
<b>01</b>	<b>Judicial conduct</b>				
	01 General program operations	\$274,700	\$274,700	2.00	2.00
	04 Contractual agreements	\$16,200	\$16,200	0.00	0.00
	<b>Judicial conduct SubTotal</b>	<b>\$290,900</b>	<b>\$290,900</b>	<b>2.00</b>	<b>2.00</b>
	<b>Adjusted Base Funding Level SubTotal</b>	<b>\$290,900</b>	<b>\$290,900</b>	<b>2.00</b>	<b>2.00</b>
	<b>Agency Total</b>	<b>\$290,900</b>	<b>\$290,900</b>	<b>2.00</b>	<b>2.00</b>

# Decision Item by Fund Source

## Judicial Commission

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
<b>Decision Item</b>	<b>2000</b>	<b>Adjusted Base Funding Level</b>				
	GPR	S	\$290,900	\$290,900	2.00	2.00
	<b>Total</b>		<b>\$290,900</b>	<b>\$290,900</b>	<b>2.00</b>	<b>2.00</b>
<b>Agency Total</b>			<b>\$290,900</b>	<b>\$290,900</b>	<b>2.00</b>	<b>2.00</b>

**Decision Item (DIN) - 3003**

**Decision Item (DIN) Title - Full Funding of Continuing Position Salaries and Fringe Benefits**

**NARRATIVE**

Standard Budget Adjustment - Full Funding of Continuing Position Salaries and Fringe

**Decision Item by Line**

**1315 Biennial Budget**

	<b>CODES</b>	<b>TITLES</b>
<b>DEPARTMENT</b>	665	Judicial Commission
	<b>CODES</b>	<b>TITLES</b>
<b>DECISION ITEM</b>	3003	Full Funding of Continuing Position Salaries and Fringe Benefits

	<b>Expenditure items</b>	<b>1st Year Cost</b>	<b>2nd Year Cost</b>
01	Permanent Position Salaries	\$0	\$0
02	Turnover	\$0	\$0
03	Project Position Salaries	\$0	\$0
04	LTE/Misc. Salaries	\$0	\$0
05	Fringe Benefits	\$4,300	\$4,300
06	Supplies and Services	\$0	\$0
07	Permanent Property	\$0	\$0
08	Unalloted Reserve	\$0	\$0
09	Aids to Individuals Organizations	\$0	\$0
10	Local Assistance	\$0	\$0
11	One-time Financing	\$0	\$0
12	Debt Service	\$0	\$0
16		\$0	\$0
<b>17</b>	<b>Total Cost</b>	<b>\$4,300</b>	<b>\$4,300</b>
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

# Decision Item by Numeric

## Judicial Commission

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	<b>3003</b>	<b>Full Funding of Continuing Position Salaries and Fringe Benefits</b>			
<b>01</b>	<b>Judicial conduct</b>				
	01 General program operations	\$4,300	\$4,300	0.00	0.00
	<b>Judicial conduct SubTotal</b>	<b>\$4,300</b>	<b>\$4,300</b>	<b>0.00</b>	<b>0.00</b>
	<b>Full Funding of Continuing Position Salaries and Fringe Benefits SubTotal</b>	<b>\$4,300</b>	<b>\$4,300</b>	<b>0.00</b>	<b>0.00</b>
	<b>Agency Total</b>	<b>\$4,300</b>	<b>\$4,300</b>	<b>0.00</b>	<b>0.00</b>

# Decision Item by Fund Source

## Judicial Commission

Decision Item	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
		3003	<b>Full Funding of Continuing Position Salaries and Fringe Benefits</b>			
	GPR	S	\$4,300	\$4,300	0.00	0.00
	<b>Total</b>		<b>\$4,300</b>	<b>\$4,300</b>	<b>0.00</b>	<b>0.00</b>
<b>Agency Total</b>			<b>\$4,300</b>	<b>\$4,300</b>	<b>0.00</b>	<b>0.00</b>

### 2013-15 Full Funding Methodology

#### Normal Adjustment, with Retirement Add-on for Protectives or ESG

Budget Unit: 665-01

a	B-1 Salary Level		125,300	
b	B-1 Salary Level (adjusted)		125,312	
c	Subtract Adjusted Base Permanent & Project Salary		-125,300	
d	Salary Full Funding Amount			12
e	<b>Salary Full Funding Amount, Rounded</b>			<b>0</b>
f	B-1 Salary Level (adjusted)	125,312		
g	Apply New Agency Full Fringe Rate	0.4356		
h	Permanent/Project Fringe Required		54,586	
i	Adjusted Base LTE Salary/Per Diems			
j	Apply Standard LTE Fringe Rate	0.0765		
k	LTE Fringe Required		0	
l	<b>Total Fringe Required</b>		<b>54,586</b>	
m	Subtract Adjusted Base Fringe		-54,800	
n	Preliminary Fringe Full Funding Amount			-214
o	<b>B-1 Salaries of Protectives in b</b>	<b>81,335</b>		
p	<b>Protective Fringe Add-On</b>	<b>0.055</b>		
q	<b>Fringe Protective Add-On Amount</b>			<b>4,473</b>
r	Final Fringe Full Funding Amount (w/Add-On)			4,259
s	<b>Final Fringe Full Funding Amount, Rounded</b>			<b>4,300</b>

**Decision Item (DIN) - 3010**

**Decision Item (DIN) Title - Full Funding of Lease and Directed Moves Costs**

**NARRATIVE**

Standard Budget Adjustment - Full Funding of Lease and Directed Moves Costs

**Decision Item by Line**

**1315 Biennial Budget**

	<b>CODES</b>	<b>TITLES</b>
<b>DEPARTMENT</b>	665	Judicial Commission
	<b>CODES</b>	<b>TITLES</b>
<b>DECISION ITEM</b>	3010	Full Funding of Lease and Directed Moves Costs

	<b>Expenditure items</b>	<b>1st Year Cost</b>	<b>2nd Year Cost</b>
01	Permanent Position Salaries	\$0	\$0
02	Turnover	\$0	\$0
03	Project Position Salaries	\$0	\$0
04	LTE/Misc. Salaries	\$0	\$0
05	Fringe Benefits	\$0	\$0
06	Supplies and Services	\$2,500	\$3,000
07	Permanent Property	\$0	\$0
08	Unallotted Reserve	\$0	\$0
09	Aids to Individuals Organizations	\$0	\$0
10	Local Assistance	\$0	\$0
11	One-time Financing	\$0	\$0
12	Debt Service	\$0	\$0
16		\$0	\$0
<b>17</b>	<b>Total Cost</b>	<b>\$2,500</b>	<b>\$3,000</b>
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

# Decision Item by Numeric

## Judicial Commission

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	<b>3010</b>	<b>Full Funding of Lease and Directed Moves Costs</b>			
<b>01</b>	<b>Judicial conduct</b>				
	01 General program operations	\$2,500	\$3,000	0.00	0.00
	<b>Judicial conduct SubTotal</b>	<b>\$2,500</b>	<b>\$3,000</b>	<b>0.00</b>	<b>0.00</b>
	<b>Full Funding of Lease and Directed Moves Costs SubTotal</b>	<b>\$2,500</b>	<b>\$3,000</b>	<b>0.00</b>	<b>0.00</b>
	<b>Agency Total</b>	<b>\$2,500</b>	<b>\$3,000</b>	<b>0.00</b>	<b>0.00</b>

# Decision Item by Fund Source

## Judicial Commission

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
<b>Decision Item</b>	<b>3010</b>	<b>Full Funding of Lease and Directed Moves Costs</b>				
	GPR	S	\$2,500	\$3,000	0.00	0.00
	<b>Total</b>		<b>\$2,500</b>	<b>\$3,000</b>	<b>0.00</b>	<b>0.00</b>
<b>Agency Total</b>			<b>\$2,500</b>	<b>\$3,000</b>	<b>0.00</b>	<b>0.00</b>

**Decision Item (DIN) - 4003**

**Decision Item (DIN) Title - Supplies and Services Increase**

**NARRATIVE**

Need increased funding. Lapsed just \$5.00 in FY12, despite not paying for staff travel for six months, voluntary reductions in meeting expenses for Commissioners and deferring purchases of needed office and other supplies. Desperate need for a scanner.

**Decision Item by Line**

**1315 Biennial Budget**

	<b>CODES</b>	<b>TITLES</b>
<b>DEPARTMENT</b>	665	Judicial Commission
	<b>CODES</b>	<b>TITLES</b>
<b>DECISION ITEM</b>	4003	Supplies and Services Increase

	<b>Expenditure items</b>	<b>1st Year Cost</b>	<b>2nd Year Cost</b>
01	Permanent Position Salaries	\$0	\$0
02	Turnover	\$0	\$0
03	Project Position Salaries	\$0	\$0
04	LTE/Misc. Salaries	\$0	\$0
05	Fringe Benefits	\$0	\$0
06	Supplies and Services	\$4,000	\$4,000
07	Permanent Property	\$0	\$0
08	Unallotted Reserve	\$0	\$0
09	Aids to Individuals Organizations	\$0	\$0
10	Local Assistance	\$0	\$0
11	One-time Financing	\$0	\$0
12	Debt Service	\$0	\$0
16		\$0	\$0
<b>17</b>	<b>Total Cost</b>	<b>\$4,000</b>	<b>\$4,000</b>
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

# Decision Item by Numeric

## Judicial Commission

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	<b>4003</b>	<b>Supplies and Services Increase</b>			
<b>01</b>	<b>Judicial conduct</b>				
	01 General program operations	\$4,000	\$4,000	0.00	0.00
	<b>Judicial conduct SubTotal</b>	<b>\$4,000</b>	<b>\$4,000</b>	<b>0.00</b>	<b>0.00</b>
	<b>Supplies and Services Increase SubTotal</b>	<b>\$4,000</b>	<b>\$4,000</b>	<b>0.00</b>	<b>0.00</b>
	<b>Agency Total</b>	<b>\$4,000</b>	<b>\$4,000</b>	<b>0.00</b>	<b>0.00</b>

# Decision Item by Fund Source

## Judicial Commission

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
<b>Decision Item</b>	<b>4003</b>	<b>Supplies and Services Increase</b>				
	GPR	S	\$4,000	\$4,000	0.00	0.00
	<b>Total</b>		<b>\$4,000</b>	<b>\$4,000</b>	<b>0.00</b>	<b>0.00</b>
<b>Agency Total</b>			<b>\$4,000</b>	<b>\$4,000</b>	<b>0.00</b>	<b>0.00</b>