

## ETHICS BOARD

### GOVERNOR'S BUDGET RECOMMENDATIONS

Source of Funds	FY05 Adjusted Base	FY06 Recommended	% Change Over FY05	FY07 Recommended	% Change Over FY06
GPR	243,600	295,300	21.2	295,300	0.0
PR-O	385,600	397,700	3.1	397,700	0.0
<b>TOTAL</b>	<b>629,200</b>	<b>693,000</b>	<b>10.1</b>	<b>693,000</b>	<b>0.0</b>

### FULL-TIME EQUIVALENT POSITION SUMMARY

Source of Funds	FY05 Adjusted Base	FY06 Recommended	FTE Change From FY05	FY07 Recommended	FTE Change From FY06
GPR	2.30	2.30	0.00	2.30	0.00
PR-O	3.45	3.45	0.00	3.45	0.00
<b>TOTAL</b>	<b>5.75</b>	<b>5.75</b>	<b>0.00</b>	<b>5.75</b>	<b>0.00</b>

### AGENCY DESCRIPTION

The board consists of six members who are appointed by the Governor with the advice and consent of the Senate. The board appoints an executive director to administer the agency. The board advises state officials, lobbyists and their employers, and others on applicable statutory standards of conduct. The board investigates and prosecutes violations of the ethics code and lobbying law; maintains files for public review of the financial interest statements of state officials, candidates and nominees for state public office; and compiles and disseminates information about the matters on which businesses and organizations are lobbying, and the time and money that businesses and organizations spend to influence state legislation and administrative rules. The board also provides advice to local units of government about the statutory standards of conduct for local officials.

### MISSION

The board's mission is to further Wisconsin's tradition of clean and open government through its administration of Wisconsin's ethics code and lobbying law. The purposes of these laws are to:

- Improve standards of governmental service;
- Strengthen citizens' confidence in the integrity of their state and local governmental officials;
- Help preserve the integrity of the governmental decision making process; and
- Promote a full and fair opportunity for citizens to address governmental officials, either directly or through paid representatives, on the merits of issues.

## PROGRAMS, GOALS, OBJECTIVES AND ACTIVITIES

### Program 1: Ethics and Lobbying Regulation

Goal: Enforce statutory requirements. This goal fosters the continued high standards of ethical conduct by state government officials, lobbyists and lobbying organizations through independent investigation and reassures the public that the State of Wisconsin is equipped to redress conduct that falls short of statutorily established standards.

Objective/Activity: Investigate possible violations of the ethics code and lobbying law and seek appropriate remedies or exoneration as circumstances warrant.

Goal: Identify interests influencing government and identify conflicting interests.

Objective/Activity: Identify for the public the businesses, organizations and individuals attempting to influence governmental decisions, the issues in which they have an interest, and other pertinent information; and provide information about governmental officials' financial interests to confirm for the public the absence of conflicting interests or to identify conflicts meriting attention. Provide for the regular update and enhancement of an interactive Web site, which permits anyone with Internet access capabilities to search for and view data relating to lobbyists, the organizations that employ them, the issues on which they are lobbying, lobbying activity and expenditures for current and past sessions, and information about key elected and appointed state officials.

## PERFORMANCE MEASURES

### HISTORICAL DATA

Prog. No.	Performance Measure	Actual 2000	Actual 2001	Actual 2002
1.	Officials' and citizens' confidence in the board.	The board did not survey officials or citizens	Goal not met	Goal not met <sup>1</sup>
1.	Customer satisfaction in the board obtained through survey and focus group sessions.	Goal met <sup>2</sup>	Goal met <sup>2</sup>	Goal met <sup>3</sup>
1.	Customer usage of Web site (legislators, executive branch officials and Wisconsin citizens). <sup>4</sup>	500,000 hits 47,000 users	1.7 million hits 92,000 users	3.4 million hits 133,000 users
1.	News coverage of legislative affairs.	N/A	Goal met <sup>5</sup>	Goal met <sup>6</sup>

Note: Based on fiscal year.

<sup>1</sup>Adverse publicity about financial limitations on board's ability to investigate potential violations of law.

<sup>2</sup>Comments during seminars and phone conversations are positive towards the board and especially the Web site.

<sup>3</sup>The board surveyed lobbying principals and state officials to gauge their satisfaction with the board's administration of requirements for reports that lobbying organizations and officials file with the board. Satisfaction was high and rising.

<sup>4</sup>Wisconsin legislators use the board's Web site as a tool to aid them in identifying lobbying interests during legislative sessions.

<sup>5</sup>The board's Web site received the Innovations in American Government Award from Harvard University and the Ford Foundation.

<sup>6</sup>The board's Web site received the Public Integrity Award sponsored by the American Society for Public Administration.

## 2003 AND 2004 GOALS AND ACTUALS

Prog. No.	Performance Measure	Goal 2003	Actual 2003	Goal 2004	Actual 2004
1.	Officials' and citizens' confidence in the board.	Confidence that legislative review does not impede the board's inquiries is high and rising	Goal not met <sup>1</sup>	Confidence that legislative review does not impede the board's inquiries is high and rising	Goal not met <sup>1</sup>
1.	Customer satisfaction in the board obtained through survey and focus group sessions.	Surveys show lobbying organizations and officials are satisfied with reporting mechanisms	Goal met <sup>2</sup>	Surveys show lobbying organizations and officials are satisfied with reporting mechanisms	Goal met <sup>2</sup>
1.	Customer usage of Web site (legislators, executive branch officials and Wisconsin citizens).	Web site usage is increasing	4.3 million hits 190,000 users	Web site usage is increasing	5.6 million hits 395,000 users
1.	News coverage of legislative affairs.	Increase in number and depth of publicity, analysis and commentary on information related to lobbying and financial interests of state officials	Goal met <sup>3</sup>	Increase in number and depth of publicity, analysis and commentary on information related to lobbying and financial interests of state officials	Goal met <sup>3</sup>

Note: Based on fiscal year.

<sup>1</sup>Adverse publicity about financial limitations on board's ability to investigate potential violations of law.

<sup>2</sup>Comments during seminars, phone conversations and through E-mails have been positive towards the board and its Web site.

<sup>3</sup>Well-known publications are printing more articles relative to lobbying and financial interests of state officials.

## 2005, 2006 AND 2007 GOALS

Prog. No.	Performance Measure	Goal 2005	Goal 2006	Goal 2007
1.	Officials' and citizens' confidence in the board.	Confidence that legislative review does not impede the board's inquiries is high and rising	Confidence that legislative review does not impede the board's inquiries is high and rising	Confidence that legislative review does not impede the board's inquiries is high and rising
1.	Customer satisfaction in the board obtained through surveys and focus group sessions.	Verbal and written communications indicate lobbying organizations and officials are satisfied with reporting mechanisms	Verbal and written communications indicate lobbying organizations and officials are satisfied with reporting mechanisms	Verbal and written communications indicate lobbying organizations and officials are satisfied with reporting mechanisms
1.	Customer usage of Web site (legislators, executive branch officials and Wisconsin citizens).	Web site usage is increasing	Web site usage is increasing	Web site usage is increasing
1.	News coverage of legislative affairs.	Increase in number and depth of publicity, analysis and commentary on information related to lobbying and financial interests of state officials	Increase in number and depth of publicity, analysis and commentary on information related to lobbying and financial interests of state officials	Increase in number and depth of publicity, analysis and commentary on information related to lobbying and financial interests of state officials

Note: Based on fiscal year.

## **ETHICS BOARD**

### **GOVERNOR'S BUDGET RECOMMENDATIONS**

#### **RECOMMENDATIONS**

1. Investigation Costs
2. Standard Budget Adjustments

#### **ITEMS NOT APPROVED**

3. Realignment of Budgeted Expenditures

**Table 1**  
**Department Budget Summary by Funding Source (in thousands of dollars)**

	ACTUAL FY04	ADJUSTED BASE FY05	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
			FY06	FY07	FY06	FY07
GENERAL PURPOSE REVENUE	\$282.2	\$243.6	\$314.1	\$314.1	\$295.3	\$295.3
State Operations	282.2	243.6	314.1	314.1	295.3	295.3
PROGRAM REVENUE (2)	351.9	385.6	328.9	328.9	397.7	397.7
State Operations	351.9	385.6	328.9	328.9	397.7	397.7
TOTALS-ANNUAL	634.1	629.2	643.0	643.0	693.0	693.0
State Operations	634.1	629.2	643.0	643.0	693.0	693.0

(2) Includes Program Revenue-Service and Program Revenue-Other

**Table 2**  
**Department Position Summary by Funding Source (in FTE positions) (4)**

	ADJUSTED BASE FY05	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
		FY06	FY07	FY06	FY07
GENERAL PURPOSE REVENUE	2.30	3.13	3.13	2.30	2.30
PROGRAM REVENUE (2)	3.45	2.62	2.62	3.45	3.45
TOTALS-ANNUAL	5.75	5.75	5.75	5.75	5.75

(2) Includes Program Revenue-Service and Program Revenue-Other

(4) All positions are State Operations unless otherwise specified

**Table 3**  
**Department Budget Summary by Program (in thousands of dollars)**

	ACTUAL FY04	ADJUSTED BASE FY05	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
			FY06	FY07	FY06	FY07
1. Ethics and lobbying regulation	\$634.1	\$629.2	\$643.0	\$643.0	\$693.0	\$693.0
TOTALS	634.1	629.2	643.0	643.0	693.0	693.0

**Table 4**  
**Department Position Summary by Program (in FTE positions)**

	ADJUSTED BASE FY05	AGENCY REQUEST		GOVERNOR'S RECOMMENDATION	
		FY06	FY07	FY06	FY07
1. Ethics and lobbying regulation	5.75	5.75	5.75	5.75	5.75
TOTALS	5.75	5.75	5.75	5.75	5.75

**1. Investigation Costs**

Source of Funds	Agency Request				Governor's Recommendation			
	FY06		FY07		FY06		FY07	
	Dollars	Positions	Dollars	Positions	Dollars	Positions	Dollars	Positions
GPR	0	0.00	0	0.00	43,300	0.00	43,300	0.00
TOTAL	0	0.00	0	0.00	43,300	0.00	43,300	0.00

The Governor recommends increasing the ethics investigations appropriation of the board to a funding level of \$50,000 GPR in each fiscal year.

**2. Standard Budget Adjustments**

Source of Funds	Agency Request				Governor's Recommendation			
	FY06		FY07		FY06		FY07	
	Dollars	Positions	Dollars	Positions	Dollars	Positions	Dollars	Positions
GPR	8,400	0.00	8,400	0.00	8,400	0.00	8,400	0.00
PR-O	12,100	0.00	12,100	0.00	12,100	0.00	12,100	0.00
TOTAL	20,500	0.00	20,500	0.00	20,500	0.00	20,500	0.00

The Governor recommends adjusting the board's base budget for: (a) full funding of continuing position salaries and fringe benefits (\$9,300 in each year); and (b) reclassifications and semiautomatic pay progression (\$11,200 in each year).

**ITEMS NOT APPROVED**

The following requests are not included in the Governor's budget recommendations for the Ethics Board.

Decision Item	Source of Funds	FY06		FY07	
		Dollars	Positions	Dollars	Positions
3. Realignment of Budgeted Expenditures	GPR	62,100	0.83	62,100	0.83
	PR-O	-68,800	-0.83	-68,800	-0.83
TOTAL OF ITEMS NOT APPROVED	GPR	62,100	0.83	62,100	0.83
	PR-O	-68,800	-0.83	-68,800	-0.83