



**State of Wisconsin**  
Department of Administration  
(DUNS No. 80-903-6098)

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**Consolidated  
Annual  
Performance  
And  
Evaluation  
Report**

April 1, 2018- March 31, 2019

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**State of Wisconsin ■ Tony Evers, Governor**  
**Department of Administration ■ Joel Brennan, Secretary**

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## CR-05 GOALS AND OUTCOMES

### **Progress the jurisdiction has made in carrying out its Strategic Plan and its Action Plan**

#### **CDBG**

The number of businesses assisted with CDBG economic development program (CDBG-ED) funds remains lower than anticipated due to several factors. Under the current lending environment of low interest rates, businesses are finding conventional loans more advantageous than the underwriting, compliance, and long-term reporting commitments required for CDBG-ED funding. DEHCR is currently working with stakeholders to make CDBG-ED funds less burdensome with the hopes of stimulating interest in the program.

In addition, transitioning, reviewing, remediating, and closing issues discovered in projects from the former Department of Commerce remain a top priority of DEHCR. In an effort to ensure continued compliance, DEHCR provided training through the annual application and implementation trainings. As a result of these efforts, DEHCR is close to finalizing all outstanding issues with loans made by the former Department of Commerce.

The number of communities assisted with CDBG funds for Planning (CDBG-PLNG) remains low. DEHCR received three applications in the 2017 program year and funded three. DEHCR staff promotes the planning program through contact with consultants, funding agency staff, and presentations at professional organization events. Planning utilization has historically been a challenge due to projects needing to meet a national objective and result in an eligible activity outcome. In 2017, DEHCR switched from a yearly deadline for PLNG applications to accepting on an on-going basis in an attempt to stimulate interest for the program.

The number of persons assisted with CDBG funds for public facilities projects (CDBG-PF) significantly exceeded expectations. DEHCR received 37 accepted applications in the 2018 program year and funded 32. The CDBG-PF program continues to be in high demand with many non-entitlement communities facing challenges with aging public infrastructure. DEHCR anticipates the high demand for CDBG-PF dollars to continue in the coming years.

Through the fourth full year of operation under the CDBG Housing Regions program (CDBG-H), program funds and services were made available to all eligible Wisconsin communities. This effort assisted in meeting geographic needs of the program. Contracted grantees were required to meet minimum low-income (30 percent of funds expended) thresholds in an effort to target and provide services to that population.

#### **HOME**

The average amount of HOME-HHR assistance provided to homebuyers in Program Year 2018 was lower than planned by approximately 19 percent (\$17,525 planned versus \$14,195 actual). This decrease in cost did not correspond to a higher number of homebuyers receiving assistance; as fewer units were available during the 2018 program year. Lower assistance amounts were needed to meet affordability goals. To make more homes affordable to Low-Moderate Income (LMI) families, a greater supply of available housing was required.

The average amount of assistance provided to homeowners for rehabilitation in Program Year 2018 was also lower than planned (\$19,558 planned versus \$17,015 actual; approximately 13% lower than expected). Again, a lack of available houses to rehabilitate was experienced in Wisconsin. In addition, the projects that occurred were lower cost projects.

HOME-RHD accepted applications for affordable rental housing projects during two formal competitions held in June and October of 2018. No applications were received for HOME-RHD funds during the formal competitions. HOME-RHD provides an opportunity for small developers to apply for funding through a rolling application process. There is also a rolling application period during the program year. HOME-RHD funding during the program year provided for construction, and/or rehabilitation of 104 affordable rental units.

HOME-TBRA provided rental assistance to 335 households which were homeless or at risk of homelessness. Grantees who had previously failed to meet their service goals were not renewed while new grantees were added. One grantee considerably expanded its service area to better serve northern Wisconsin. The state also continued a service approach which required grantees to cover more than one county. Program year 2018 grantees' contracts were extended to provide additional coverage and opportunity for recipients to fully utilize funding.

### **ESG**

The ESG program has made significant progress towards its goals. The ESG program served more than the total number of persons anticipated in the goals; however, the split between the different goals or ESG project types was different than the Action Plan goals. More persons were served in emergency shelters than anticipated as were those served in rapid re-housing and homelessness prevention than anticipated. There are several explanations for this.

- The number of available shelter nights has risen, along with demand.
- While rapid re-housing providers across the state are having difficulties identifying housing for clients to move into, they are still able to exceed their goals for the year. However, a lack of available housing, especially rental housing, across rural Wisconsin is preventing greater successes. This prolongs periods of homelessness and means that fewer households are served. Providers are working on this issue by trying to engage landlords, and work with households to address barriers to housing.
- Emergency shelters are focused on prioritization using coordinated entry, the VI-SPDAT tool, and diversion. This means some households who have low barriers to housing may not be enrolled in a rapid re-housing program because they are capable of obtaining housing quickly on their own. This frees up resources to serve clients with more significant housing barriers, who likely need much more rapid re-housing assistance than a client with lower barriers to housing. This causes the program to have a higher cost per person, but overall will result in more persons in the state exiting homelessness.
- The focus on rapid re-housing has meant that some grantees have shifted resources away from prevention programs and towards rapid re-housing programs. The state is supportive of this approach as it is an overall more efficient use of ESG funding.

### **HOPWA**

Short-term rental, mortgage, utility and supportive services assistance was provided to 121 households and 165 total persons with HOPWA funding.

## Accomplishments – Program Year

Goal	Category	Outcome																									
Rehabilitate Owner Occupied Housing	Affordable Housing	<table border="1"> <thead> <tr> <th>Indicator</th> <th>Expected</th> <th>Actual</th> <th>Unit of Measure</th> <th>Percent complete</th> </tr> </thead> <tbody> <tr> <td>Homeowner Housing Rehabilitated</td> <td>753</td> <td>1,392</td> <td>Household Housing Unit</td> <td>184.86%</td> </tr> </tbody> </table>	Indicator	Expected	Actual	Unit of Measure	Percent complete	Homeowner Housing Rehabilitated	753	1,392	Household Housing Unit	184.86%															
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Homeowner Housing Rehabilitated	753	1,392	Household Housing Unit	184.86%																							
Rehabilitate Rental Housing	Affordable Housing	<table border="1"> <thead> <tr> <th>Indicator</th> <th>Expected</th> <th>Actual</th> <th>Unit of Measure</th> <th>Percent complete</th> </tr> </thead> <tbody> <tr> <td>Rental units rehabilitated</td> <td>168</td> <td>51</td> <td>Household Housing Unit</td> <td>30.36%</td> </tr> </tbody> </table>	Indicator	Expected	Actual	Unit of Measure	Percent complete	Rental units rehabilitated	168	51	Household Housing Unit	30.36%															
		Indicator	Expected	Actual	Unit of Measure	Percent complete																					
Rental units rehabilitated	168	51	Household Housing Unit	30.36%																							
Build New Rental Housing	Affordable Housing	<table border="1"> <thead> <tr> <th>Indicator</th> <th>Expected</th> <th>Actual</th> <th>Unit of Measure</th> <th>Percent complete</th> </tr> </thead> <tbody> <tr> <td>Rental units constructed</td> <td>204</td> <td>65</td> <td>Household Housing Unit</td> <td>31.86%</td> </tr> </tbody> </table>	Indicator	Expected	Actual	Unit of Measure	Percent complete	Rental units constructed	204	65	Household Housing Unit	31.86%															
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Provide Down Payment Assistance to Homebuyers	Affordable Housing	<table border="1"> <thead> <tr> <th>Indicator</th> <th>Expected</th> <th>Actual</th> <th>Unit of Measure</th> <th>Percent complete</th> </tr> </thead> <tbody> <tr> <td>Direct Financial Assistance to Homebuyers</td> <td>281</td> <td>188</td> <td>Households Assisted</td> <td>66.90%</td> </tr> </tbody> </table>	Indicator	Expected	Actual	Unit of Measure	Percent complete	Direct Financial Assistance to Homebuyers	281	188	Households Assisted	66.90%															
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Provide Tenant-Based Rental Assistance	Affordable Housing	<table border="1"> <thead> <tr> <th>Indicator</th> <th>Expected</th> <th>Actual</th> <th>Unit of Measure</th> <th>Percent complete</th> </tr> </thead> <tbody> <tr> <td>Tenant-based rental assistance / Rapid Rehousing</td> <td>521</td> <td>335</td> <td>Households Assisted</td> <td>64.30%</td> </tr> </tbody> </table>	Indicator	Expected	Actual	Unit of Measure	Percent complete	Tenant-based rental assistance / Rapid Rehousing	521	335	Households Assisted	64.30%															
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		Indicator	Expected	Actual	Unit of Measure	Percent complete																					
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\*No longer a valid project type within HMIS;  
replaced with Services Only

Assist Communities with Public Facilities Projects	Non-Housing Community Development	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	43,374	26,877	Persons Assisted	61.97%
Create Jobs Through ED Assistance and Job Training	Non-Housing Community Development	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		Jobs created/retained	2,102	100	Jobs	4.76%
Increase Housing Options for Persons with HIV/AIDS	Non-Homeless Special Needs	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		Tenant-based rental assistance / Rapid Rehousing	279	121	Households Assisted	43.37%
Increase Access to Benefits	Homeless Non-Homeless Special Needs	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		Public service activities other than Low/Moderate Income Housing Benefit	0	0	Persons Assisted	0.00%
Assist Communities with Local Planning	Non-Housing Community Development	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		Other	13	5	Other	38.46%
Special Projects	Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		Other	2	0	Other	0.00%

Table 1 – Accomplishments – Program Year

## Accomplishments – Strategic Plan to Date

Goal	Category	Funding	Outcome			
Rehabilitate Owner Occupied Housing	Affordable Housing	<b>Source</b>	<b>Amount</b>			
		CDBG	\$54,656,533.00			
		HOME	\$7,580,432.00			
<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>		
	Homeowner Housing Rehabilitated	3,352	3,219	Household Housing Unit	96.03%	
Rehabilitate Rental Housing	Affordable Housing	<b>Source</b>	<b>Amount</b>			
		CDBG	\$137,731.00			
		HOME	\$6,448,949.00			
<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>		
	Rental units rehabilitated	127	106	Household Housing Unit	83.46%	
Build New Rental Housing	Affordable Housing	<b>Source</b>	<b>Amount</b>			
		HOME	\$13,897,897.00			
<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>		
	Rental units constructed	257	261	Household Housing Unit	101.56%	
Provide Down Payment Assistance to Homebuyers	Affordable Housing	<b>Source</b>	<b>Amount</b>			
		CDBG	\$550,925.00			
		HOME	\$10,510,773.00			
<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>		
	Direct Financial Assistance to Homebuyers	893	623	Households Assisted	69.76%	
Provide Tenant-Based Rental Assistance	Affordable Housing	<b>Source</b>	<b>Amount</b>			
		HOME	\$5,363,904.00			
<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>		
	Tenant-based rental assistance / Rapid Rehousing	1,667	1,495	Households Assisted	89.68%	
Provide Homeless Assistance	Homeless	<b>Source</b>	<b>Amount</b>			
		ESG	\$15,000,000.00			
		<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		Tenant-based rental assistance / Rapid Rehousing	30,000	9,033	Households Assisted	30.11%
		Homeless Person Overnight Shelter	40,000	55,544	Persons Assisted	138.86%
Homelessness Prevention	40,000	24,009	Persons Assisted	60.02%		
Other	15,000	2,483	Other	16.55%		

Assist Communities with Public Facilities Projects	Non-Housing Community Development	<b>Source</b>	<b>Amount</b>	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		CDBG	\$38,020,000.00					
Create Jobs Through ED Assistance and Job Training	Non-Housing Community Development	<b>Source</b>	<b>Amount</b>	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		CDBG	\$99,340,000.00					
Increase Housing Options for Persons with HIV/AIDS	Non-Homeless Special Needs	<b>Source</b>	<b>Amount</b>	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		HOPWA	\$2,250,000.00					
Increase Access to Benefits	Homeless Non-Homeless Special Needs	<b>Source</b>	<b>Amount</b>	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		CDBG	\$1,124,000.00					
Assist Communities with Local Planning	Non-Housing Community Development	<b>Source</b>	<b>Amount</b>	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		CDBG	\$5,620,000.00					
Special Projects	Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	<b>Source</b>	<b>Amount</b>	<b>Indicator</b>	<b>Expected</b>	<b>Actual</b>	<b>Unit of Measure</b>	<b>Percent complete</b>
		CDBG	\$2,248,000.00					
		<b>Source</b>	<b>Amount</b>					
		HOME	\$448,046.00					

Table 2 – Accomplishments – Strategic Plan to Date

**Assess how the state’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified**

**CDBG**

The annual application and implementation trainings provided by DEHCR have aligned with community needs for infrastructure upgrades. An opportunity exists to emphasize additional CDBG-PLNG and CDBG-ED funding availability with community stakeholders during the training programs. DEHCR also has identified the need for additional marketing throughout the state to utilize available funds and meet program goals.

The use of CDBG-H funds as no-interest loans assists low- to moderate-income households to address structural needs they might not otherwise be able to afford, and meets priorities of serving both rental units and owner-occupied units. The program requires a pre- and post-construction inspection to guarantee finished projects meet safe and sanitary guidelines, particularly in the area of lead-safe rehabilitations, which is identified as a program priority.

**HOME**

The state uses about 45 percent of HOME funding to provide affordable housing for single families throughout Wisconsin. Funding is distributed by regions; 58 percent is for homebuyer down payment assistance and 42 percent is for owner occupied housing rehabilitation. To meet the goals and objectives, contracts are awarded on a competitive basis to non-profit organizations and local units of government statewide. Each grantee is then monitored annually to ensure all HOME requirements are being followed correctly.

HOME-RHD continues to provide much needed affordable rental housing. Program provides critical housing opportunities for extremely low-income individuals and families as well as low to moderate income families and individuals.

HOME-TBRA target populations in 2018 were chronically homeless individuals and families, homeless individuals and families with a disability, individuals and families with a disability who are at risk of homelessness, and other special needs as designated by the local Continuum of Care (CoC) and outlined in a specific grant application. As a result, the majority of HOME-TBRA participants are homeless or at risk of homelessness. HOME-TBRA grantees are required to affirmatively market their HOME-TBRA programs to all qualifying members of their target populations, which necessarily include people who are homeless or at risk of homelessness. They are also required to identify those target populations least likely to apply for HOME-TBRA and determine what special outreach activities will ensure they are fully informed about HOME-TBRA. Substantial Amendment #4 to the 2015-2019 Consolidated Plan will make changes to eligibility criteria that will become effective in program year 2019.

**HOPWA**

AIDS Resource Center of Wisconsin, the project sponsor for the state formula HOPWA grant, provides short term rental, mortgage and utility assistance and supportive services to clients. The majority of clients had income and were insured and connected to care; stability in housing staff and collaboration with partner agencies has assisted in this outcome. ARC-W reports benefitting from the HOPWA Institute to make important revisions to the housing procedures and policies manual. Short-term rental,

mortgage and utility assistance was provided to 121 households and 165 total persons with HOPWA funding.

## CR-10 RACIAL AND ETHNIC COMPOSITION OF FAMILIES ASSISTED

### Racial and Ethnic Status

	CDBG	HOME	ESG	HOPWA
<b>Race:</b>				
White	41,032	828	11,563	66
Black or African American	758	92	6,957	66
Asian	340	5	158	1
American Indian or American Native	478	38	664	0
Native Hawaiian or Other Pacific Islander	3	4	38	1
<b>Total</b>	<b>42,611</b>	<b>967</b>	<b>19,380</b>	<b>134</b>
<b>Ethnicity:</b>				
Hispanic	2,483	38	1,669	6
Not Hispanic	40,128	932	17,721	159

**Table 3 – Racial and Ethnic Status**

### Additional Narrative

**CDBG program TOTAL families/persons assisted equals 42,611**

13 additional families/persons assisted by the CDBG program also include:

Race data

13 Other multi-racial

**HOME program TOTAL families/persons assisted equals 970**

3 additional families/persons assisted by the HOME program also include:

Race data

1 American Indian/Alaskan Native & White

1 Black/African American & White

1 Other multi-racial

**ESG program TOTAL families/persons assisted equals 17,721**

**HOPWA program TOTAL families/persons assisted equals 165**

## CR-15 RESOURCES AND INVESTMENTS

### Resources Made Available

Source of Funds	Source (Federal, state local)	Resources Made Available	Amount Expended During Program Year Program Year 2018
CDBG	public - federal	\$112,817,425	\$24,483,314
HOME	public - federal	\$13,420,808	\$7,670,133
ESG	public - federal	\$3,459,721	\$3,627,045
HOPWA	public - federal	\$865,093	\$568,576
HTF	public - federal	\$10,606,003	\$0

Table 4 – Resources Made Available

### Geographic Distribution and Location of Investments

DEHCR has not chosen geographic target areas as a basis for funding allocation priorities. Rather, DEHCR allows all non-entitlement communities to submit applications to programs on a competitive basis. Our rationale for the priorities for allocating investments geographically is explained by each program.

#### CDBG

DEHCR does not typically award CDBG funds in entitlement communities that receive CDBG funds from HUD directly. CDBG Non-Housing programs typically receive applications from units of local government (UGLGs) across Wisconsin. Applications are reviewed and funded with those projects and areas that will have a significant impact or make a substantial contribution to enhancing the overall economic well-being of the state receiving priority. The CDBG Housing program distributes funds to seven housing regions, and then the regional administrator of each housing region distributes dollars throughout the region.

#### HOME

DEHCR does not award HOME funds in participating jurisdictions or consortia that receive HOME funds directly from HUD. Rental Housing Development, Homebuyer and Rehabilitation, and Tenant Based Rental Assistance funds are awarded in the rest of the state (not in participating jurisdictions or consortia) on a competitive basis.

#### ESG

In Wisconsin, DEHCR, and the cities of Madison, Milwaukee, and Racine receive ESG funds directly from HUD. DEHCR ESG funds are awarded on a formula basis to regional entities throughout the state using indicators of poverty and homelessness.

#### HOPWA

DEHCR ensures, currently through its contract with Aids Resource Center of Wisconsin (ARCW), full coverage in the 66 counties in DEHCR's HOPWA area.

## **Leveraging**

### **CDBG**

CDBG-ED funding is often used as gap financing, allowing it to leverage other sources of funds at the local level. CDBG-Public Facilities, CDBG-Planning, and CDBG-Public Facilities for Economic Development (CDBG-PFED) have a 50 percent match requirement. This requirement is often satisfied using funds from local government and other state or federal agencies. Matching funds used on CDBG projects often greatly exceed the minimum match requirements. Substantial Amendment #4 to the 2015-2019 Consolidated Plan will make changes to match criteria that will become effective in program year 2019.

### **HOME**

HOME funding is used to leverage additional finances to fund affordable housing programs. The 25 percent match requirement for HOME programs is met through a combination of private finance, cash contributions investments from state and local government sources, donated land, materials, service, and labor. DEHCR meets the 25 percent match requirement through grantee agencies providing the match and state programs that provide significant matching funds (Housing Cost Reduction Initiative/Critical Assistance, Wisconsin Department of Health Services, Wisconsin Department of Corrections, DOA, Wisconsin Housing and Economic Development Authority [WHEDA] Home Loan Program, and the WHEDA Foundation). DEHCR continues to work with WHEDA, the state of Wisconsin's administrators of the Low-Income Housing Tax Credits (LIHTC), to coordinate affordable housing resources. WHEDA staff continues to provide input and advice to DEHCR staff on the Rental Housing Development Program (HOME-RHD).

### **ESG**

The ESG program exceeded its match requirement this year, as shown in CR-75. In 2018, \$1,470,946 in state homelessness prevention and rapid re-housing funding was awarded to ESG grantees along with federal ESG funding. This funding is used to satisfy a portion of the match requirement, and the rest of the match requirement is met through federal, state, and local government funding, private donations, and donated materials, services, and labor.

## **Fiscal Year Summary HOME Match**

<b>Fiscal Year Summary - HOME Match</b>	
1. Excess match from prior Federal fiscal year	\$87,996,922
2. Match contributed during current Federal fiscal year	\$3,698,322
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$91,695,244
4. Match liability for current Federal fiscal year	\$2,109,456
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$89,585,788

**Table 5 – Fiscal Year Summary HOME Match**

### Match Contribution for the Federal Fiscal Year

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution (mm/dd/yyyy)	Cash (non-federal sources)	Foregone Taxes, Fees, Charges	Appraised Land /Real Property	Required Infrastructure	Site Prep, Construction Materials, Donated Labor	Bond Financing	Total Match
HHR	3/15/2018	18,000						18,000
HHR 12.18	6/7/2018	6,000	624.16					6,624.16
HHR 14.01	3/5/2018	13,000						13,000
HHR 14.02	3/13/2018	51,487.01						51,487.01
HHR 14.02 CHDO-A	3/14/2018	6,000						6,000
HHR 14.03	3/13/2018	97,426.90						97,426.90
HHR 14.04	3/15/2018	142,750						142,750
HHR 14.06 CHDO-A	7/25/2018	3,500	6,877.51					10,377.51
HHR 14.08 CHDO-A	2/12/2018	2,000	7,005.85					9,005.85
HHR 14.10	1/31/2018	7,000						7,000
HHR 14.11	2/20/2018	744.30						744.30
HHR 14.12	1/31/2018	1,751						1,751
HHR 14.13	2/1/2018	25,310						25,310
HHR 14.14	8/14/2018	36,490.21						36,490.21
HHR 14.16	2/6/2018	11,500						11,500
HHR 14.19	1/31/2018	10,313						10,313
HHR 14.20	8/14/2018	38,226				170,778.05		209,004.05
HHR 16.02	8/13/2018	73,433.83						73,433.83
HHR 16.03	3/2/2018	7,000						7,000
HHR 16.08	8/8/2018	5,000						5,000
HHR 16.09	8/22/2018	3,000						3,000
HHR 16.13	8/8/2018	2,000						2,000
HHR 16.15	8/8/2018	19,537.39				20,773.93		40,311.32
HHR 16.19	5/9/2018	6,500				135		6,635

RHD-1507	6/26/2018			125,000				125,000
RHD-1509	9/12/2018	525,000						525,000
RHD-1511	1/12/2018			75,000				75,000
RHD-1512	3/22/2018	600,000						600,000
RHD-1514	9/11/2018	345,000						345,000
RHD-1517	5/3/2018	510,000						510,000
RHDC-1602	8/16/2018		279,780					279,780
RHDC-1605	8/31/2018			135,729				135,729
TBRA	9/30/2018					308,648.88		308,648.88
	Totals:	2,567,969.64	294,287.52	335,729	0	500,335.86	0	3,698,322.02

Table 6 – Match Contribution for the Federal Fiscal Year

## HOME Program Income

Program Income – Enter the program income amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$3,135,359	\$2,066,931	\$497,513	\$0	\$4,704,777

Table 7 – HOME Program Income

## Minority Business and Women Business Enterprises (MBE-WBE)

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	White Non-Hispanic
<b>Contracts:</b>						
Number	17	8	1	1	6	1
Dollar Amount	\$547,161.39	\$203,284	\$132,200	\$140,204.20	\$66,554.39	\$4,918.80
<b>Sub-Contracts:</b>						
Number	19	7	1	1	6	4
Dollar Amount	\$2,384,269.19	\$130,060.26	\$39,000	\$45,985	\$1,702,398.73	\$466,825.20
	Total	Women Business Enterprises	Male			
<b>Contracts:</b>						
Number	29	15	14			
Dollar amount	\$1,763,207.20	\$1,252,678.16	\$510,529.04			
<b>Sub-Contracts:</b>						
Number	38	23	15			
Dollar Amount	\$4,637,800.12	\$2,107,453.39	\$2,530,346.73			

Table 8 – Minority Business and Women Business Enterprises (MBE-WBE)

## Minority Owners of Business Property

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Table 9 – Minority Owners of Business Property

## Relocation and Property Acquisition

<b>Relocation and Real Property Acquisition</b> – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
	Number	Cost				
Parcels Acquired	0	\$0				
Businesses Displaced	0	\$0				
Nonprofit Organizations Displaced	0	\$0				
Households Temporarily Relocated, not Displaced	0	0				
<b>Households Displaced</b>	<b>Total</b>	<b>Minority Business Enterprises</b>				
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	<b>White Non-Hispanic</b>
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Property Acquisition

## CR-20 AFFORDABLE HOUSING

### Affordable Housing – Number of Households Assisted

	One-Year Goal	Actual
Number of homeless to be provided affordable housing units	146	184
Number of non-homeless to be provided affordable housing units	1406*	1,712
Number of special-needs to be provided affordable housing units	375	474
<b>Total</b>	<b>1,927</b>	<b>2,370</b>

**Table 11 – Number of Households Assisted**

\*Includes 137 HTF-funded ELI housing units

### Affordable Housing – Number of Households Supported

Number of households supported through:	One-Year Goal	Actual
Rental Assistance	521	385
The Production of New Units	204*	66
Rehab of Existing Units	922	1,438
Acquisition of Existing Units	281	172
<b>Total</b>	<b>1,927</b>	<b>2,061</b>

**Table 12 – Number of Households Supported**

\*Includes 137 HTF-funded ELI housing units

### Discuss the difference between goals and outcomes and problems encountered in meeting these goals

#### CDBG

The CDBG-H program met the number of units assisted for homebuyer and rental rehabilitation. The CDBG-H program has experienced a shortage of contractors to work in the program; this is especially true of trade contractors including plumbers and electricians. In addition, the housing program has seen a rise in the number of projects exceeding \$50,000 to make the property decent, safe and sanitary.

#### HOME

The average amount of HOME-HHR assistance provided to homebuyers in Program Year 2018 was lower than planned by approximately 19 percent (\$17,525 planned versus \$14,195 actual). This decrease in cost did not correspond to a higher number of homebuyers receiving assistance; as fewer units were available during the 2018 program year. Lower assistance amounts were needed to meet affordability goals. To make more homes affordable to Low-Moderate Income (LMI) families, a greater supply of available housing was required.

The average amount of assistance provided to homeowners for rehabilitation in Program Year 2018 was also lower than planned (\$19,558 planned versus \$17,015 actual; approximately 13% lower than expected). Again, a lack of available houses to rehabilitate was experienced in Wisconsin. In addition, the projects that occurred were lower cost projects.

HOME-TBRA provided rental assistance to 335 households which were homeless or at risk of homelessness. Grantees who had previously failed to meet their service goals were not renewed while new grantees were added. The state also continued a service approach which required grantees to cover more than one county. Most grantees also required a contract extension as there are significant shortfalls in available housing in rural areas.

The HOME-RHD program significantly exceeded the goal for construction and/or rehabilitation of affordable rental units. HOME-RHD experienced a transition in staffing with a new program manager, and several other staff members resigned which caused a delay in processing completion inspections; yet program goals for construction and rehabilitation were exceeded.

### **Discuss how these outcomes will impact future Annual Action Plans**

#### **CDBG**

Grantees continue to reach out to the contractor base in their service area and recruit contractors for the CDBG-H program. The CDBG-H program continues to make funds available to assist contractors in becoming trained in lead-safe work practices, lead abatement, and lead risk assessment. DEHCR is building on efforts to encourage collaboration between CDBG-H and home weatherization providers to maximize available resources.

#### **HOME**

DEHCR can work to develop more contractors and affordable housing. For example, funding could be used to provide more lead paint training to assist contractors in becoming certified in lead abatement procedures, risk assessment, and lead safe work practices. DEHCR can also work with grantees to develop more housing units in areas where demand for housing is higher.

All HOME-TBRA grantees for Program Year 2018 were also Program Year 2017 grantees with existing HOME-TBRA programs; therefore, outside of a lack of available housing in rural areas, none should experience unusual challenges ramping up their programs or accurately projecting their levels of service. The HOME-TBRA program will adjust its estimated number of households served to compensate for any additional case management challenges due to volume served in Program Year 2018. The state will encourage grantees to serve additional clients within the activities specified in the consolidated plan. Substantial Amendment #4 to the 2015-2019 Consolidated Plan will make changes to match criteria that will become effective in program year 2019.

## **Number of Persons Served**

<b>Number of Persons Served</b>	<b>CDBG Actual</b>	<b>Home Actual</b>
Extremely Low-income	115	905
Low-income	127	146
Moderate-income	272	124
<b>Total</b>	<b>514</b>	<b>1,175</b>

**Table 13 – Number of Persons Served**

## **Additional Narrative**

All HOME-TBRA data comes from HMIS.

## CR-25 HOMELESS AND OTHER SPECIAL NEEDS

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

#### **HOME**

Substantial Amendment #4 to the 2015-2019 Consolidated Plan made updates the HOME-TBRA program eligibility. Going forward, HOME-TBRA target populations are now restricted to homeless individuals/families and homeless individuals/families who are at risk of homelessness. As a result, all HOME-TBRA participants are homeless or at risk of homelessness.

Wisconsin HOME-TBRA grantees are required to affirmatively market their HOME-TBRA programs to all qualifying members of their target populations, which necessarily include people who are homeless or at risk of homelessness. They are also required to identify those target populations least likely to apply for HOME-TBRA and determine what special outreach activities will ensure the target populations are fully informed about HOME-TBRA.

Wisconsin HOME-TBRA programs are required to participate in coordinated entry and assessment via the Wisconsin HMIS. HMIS was implemented at the continuum of care (CoC) level; all Wisconsin HOME-TBRA programs are active participants.

DEHCR encourages its HOME-TBRA grantees to adopt a "Housing First" model for their HOME-TBRA programs, providing permanent housing to beneficiaries before delivering supportive services. According to the National Alliance to End Homelessness, Housing First is an approach to ending homelessness. For those people who are experiencing homelessness, it centers on providing them with housing as quickly as possible – and then providing necessary services. This approach has the benefit of being consistent with what most people experiencing homelessness want and seek help to achieve.

#### **ESG**

Coordinated entry is fully implemented by all four Wisconsin HUD Continua of Care to ensure that resources are targeted towards those who need them most. Coordinated entry assesses individual needs and directs persons to the program that is best equipped to meet their needs. Those who have the most acute needs, such as unsheltered persons or persons with serious mental illness, are directed towards programs such as permanent supportive housing that are best equipped to work with clients with high needs. ESG recipients are required to participate in the implementation and use of coordinated entry.

Street outreach to unsheltered persons is necessary to engage them and work towards housing stability. DEHCR encourages the use of best practices in street outreach which seek to meet basic needs and engage those experiencing homelessness in a respectful way.

## **Addressing the emergency shelter and transitional housing needs of homeless persons**

### **HOME**

Across eight programs, Wisconsin HOME-TBRA provided 335 households (individuals and families) across 36 counties with up to 18 months of transitional housing assistance in the form of rent, security deposits, and utility assistance. In 2018, HOME-TBRA target populations are restricted to chronically homeless individuals/families, homeless individuals/families with a disability, individuals/families with a disability who are at risk of homelessness, and other special needs as designated by the local continuum of care (CoC) and outlined in a specific grant application. As a result, the overwhelming majority of HOME-TBRA participants are homeless or at risk of homelessness. Substantial Amendment #4 to the 2015-2019 Consolidated Plan made updates the HOME-TBRA program eligibility.

### **ESG**

Emergency shelter is a critical part of the homeless service system. Shelters provide temporary refuge and often serve as the “front door” or first place of entry to those experiencing homelessness. As such, it is important that emergency shelters have the ability to connect those experiencing homelessness with the resources needed to exit homelessness, using the lightest touch possible. Approximately 42 percent of 2018 ESG funding was used for emergency shelter and rapid re-housing programs. In 2018, for the fourth time, DEHCR required ESG applicants to assess homeless system performance and use performance data when distributing funding at the local level. In many cases, applicants assessed shelter data to meet this requirement, and allocated more funding to shelters who are serving higher numbers of clients, moving clients into permanent housing quickly, and best ensuring that clients do not return to homelessness. New transitional housing projects are not funded by the ESG program, but DEHCR allows the use of transitional housing cases where it has been demonstrated to be efficient and effective.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

### **ESG**

Through its involvement with the Interagency Council on Homelessness, which was formally codified in state statute in 2017, DEHCR works with the four Wisconsin CoCs and other state agencies which house publicly funded systems that can discharge persons into housing instability and homelessness. Collaboration on this Council allows these agencies to work together towards discharge planning solutions. In 2018, the Council published a statewide Action Plan covering the 2019-2022 period. Currently, the Council is working on a Wisconsin plan to end homelessness. In addition, collaboration on this Council has led to interagency efforts to end homelessness. DEHCR has collaborated with the Wisconsin Department of Children and Families to fund a program targeted at youth who have aged out of the foster care system and are experiencing homelessness or at risk of homelessness. Interagency Council members regularly exchange information relative to programmatic requirements, eligibility for funding and distribute this information to reduce organizational “silos” and create synergies.

### **HOPWA**

The HOPWA program provided short-term rental, mortgage, utility and supportive services assistance was provided to 121 households and 165 total persons with HOPWA funding.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

### **HOME**

HOME-TBRA can only subsidize units that meet HUD fair market rent and rent reasonableness guidelines; therefore, Wisconsin HOME-TBRA programs assist beneficiaries in locating and selecting units that meet these affordable housing guidelines.

### **ESG**

The ESG program requires each local continuum of care (CoC) to have a rapid rehousing program and encourages local CoCs to spend more than the minimum requirement on rapid re-housing. Rapid re-housing focuses on quickly moving households out of homelessness and into permanent housing. This has the potential to decrease the length of time participants spend homeless, taking strain off of the shelter system. The funding formula which distributes ESG funding takes “length of homelessness” into account. It also rewards CoCs who have the shorter average lengths of homelessness.

Homeless prevention programs are also funded by ESG and other state funds. These funds allow agencies to keep individuals and families from becoming homeless in the first place. This allows families to avoid potential trauma, upheaval, and an eviction on their record. To promote efficient use of funds, DEHCR encourages targeting of prevention funds towards those with the greatest need. The funding formula which distributes ESG funding takes reduction in first time homelessness into account, and rewards CoCs who have managed to reduce first time homelessness through prevention and diversion efforts.

## CR-30 PUBLIC HOUSING

### **Actions taken to address the needs of public housing.**

In Wisconsin, community and county Public Housing Authorities (PHAs) own and manage the public housing developments located throughout the state. The PHAs receive funding directly from HUD.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership.**

The Division of Energy, Housing and Community Resources does not own, operate or manage any public housing units, and as a result, the State is not directly involved in public housing improvement, or in the development or delivery of programs and services for public housing residents.

### **Actions taken to provide assistance to troubled PHAs.**

The State reviews the PHA's annual plans in developing its Consolidated Plan.

## CR-35 OTHER ACTIONS

### **Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The state included and submitted its Analysis of Impediments (AI) with its 2015-2019 Consolidated Plan. Wisconsin has been making progress towards eliminating the impediments identified in its AI and intends to continue to utilize applicable federal funding towards the furtherance of its goals. The Wisconsin “home rule” provision means that zoning ordinances are left to the judgment of local governments. Sometimes local governments, in an attempt to cover the broad-based costs of public facilities improvements or to slow down a boom in new housing starts will choose to impose impact fees, increasing lot sizes and setbacks, or establishing minimum square foot requirements for new residences.

State statutes do allow local governments to waive impact fees for affordable housing developments, but it is not known how often these fees are actually waived. The net effect of these local actions may make housing more expensive than it would be without the new fees and regulations which may represent a barrier to affordable housing for people in lower income brackets.

DEHCR respects this constitutional policy of local control, and therefore cannot directly intervene to remove barriers to affordable housing that results from a local ordinance’s imposition of regulations or fees. DEHCR and other state agencies do work to ameliorate barriers to affordable housing in several ways, including:

- Support for the [WIHousingSearch.org](http://WIHousingSearch.org) website. This free online resource provides Wisconsin individuals and families a searchable database of statewide affordable rental housing.
- DEHCR works to improve the stock of affordable housing in non-entitlement jurisdictions through its state- and federally-funded housing programs.
- WHEDA’s LIHTC program offers a reduction in tax liability to property owners and private investors to encourage the development of affordable rental housing.
- WHEDA, the State of Wisconsin’s designated Housing Trust Fund administrator, will utilize those funds to ameliorate barriers to affordable housing and develop affordable rental housing.

DEHCR will continue to work with grantees to minimize the impact of these fees and other restrictions.

### **Actions taken to address obstacles to meeting underserved needs**

#### **CDBG**

The primary obstacle to meeting underserved needs in Wisconsin is a lack of adequate funding. DEHCR will continue efforts to ensure maximum state coverage with program resources. Emphasis will also be placed on enhancing local capacity building and disadvantaged and under-served areas.

DEHCR will continually evaluate its administration of CDBG funds in order to meet Wisconsin’s affordable housing and community and economic development needs. DEHCR will continue to work collaboratively with Units of General Local Government (UGLGs) so that nonprofit entities and

businesses will be able to receive grants, loans, and/or equity investments for activities that are both allowed under the federal guidelines and best address the needs of the local community.

DEHCR will continue proven program approaches and efforts to improve strategies. It will explore more efficient and effective approaches to address community needs with maximum responsiveness, including:

- Collaborate with WHEDA, HUD, USDA Rural Development, and US Department of Commerce-Economic Development Administration to:
  - Address special housing and community and economic development opportunities.
  - Sponsor training and technical assistance for local officials. This will increase knowledge of and access to available state and federal programs and resources.
- Review current policies and procedures related to maximizing the efficient reuse of program income funds to meet critical needs. DEHCR will pursue modifications where redirecting resources could address needs in programs with unmet critical needs.
- DEHCR is building on efforts to encourage collaboration between CDBG-H and home weatherization providers to maximize available resources.

The CDBG-H program continues to serve 69 counties in the state of Wisconsin. The remaining 3 counties receive direct assistance from HUD. This approach ensures all LMI households have access to housing resources. Additionally, DEHCR used CDBG-SOAR funds to increase access to SSI/SSDI benefits for those living with mental health issues.

### **HOME**

DEHCR will continue efforts to ensure maximum state coverage with program resources. Currently, the HOME-HHR program serves 65 counties through Wisconsin that do not receive HOME funds directly. The program will also continue to review grantee allocations to best serve the 65 counties. DEHCR continues to collaborate with WHEDA, HUD, USDA Rural Development, and the US Department of Commerce-Economic Development Administration to address special housing needs throughout the state.

### **ESG**

The primary obstacle to meeting the underserved needs of persons experiencing homelessness is lack of adequate funding. The Emergency Shelter Grant/Homelessness Prevention Program/Housing Program (EHH), which includes a mix of federal and state funding, is working to increase the impact of scarce funding by emphasizing performance in the application process, and rewarding the highest performing grantees with additional funding. Efforts taken in other programs to increase the supply of affordable housing will also help to meet the needs of persons experiencing homelessness.

### **Actions taken to reduce lead-based paint hazards**

The CDBG-H and HOME programs require all pre-1978 homes be rehabilitated in a lead-safe manner. 281 CDBG-H housing units (owner occupied =226, rental units = 55) and 81 HOME-HHR units were rehabilitated using lead-safe work practices (see IDIS HOME lead-based paint report) during the 2018 program year.

The programs will continue to ensure all rehabilitation on pre-1978 homes is done in a lead-safe manner, including abatement, interim controls or standard practices, lead safe work practices, visual

assessment/paint stabilization or other actions required by federal regulation. All projects that utilize lead-safe work practices receive a lead clearance to ensure the property is safe from lead hazards. All units subsidized by HOME-TBRA must pass a HUD Housing Quality Standards (HQS) inspection before move-in and at least once annually thereafter. HQS requires that units built before 1978 and occupied by households with least one child under the age of six have no peeling paint on interior and exterior surfaces. CDBG-H requires that units built before 1978 have little to no peeling paint on most interior and exterior surfaces regardless of the age of the housing occupants. Additionally, the ETH program requires grantees to comply with all applicable lead-based paint remediation and disclosure laws.

Funding for lead training is made available to assist contractors in becoming trained in lead-safe renovator and lead abatement.

### **Actions taken to reduce the number of poverty-level families**

A number of grantees require their recipient households, as a condition of assistance, to become as self-sufficient as possible. DEHCR encourages the development of self-sufficiency components within an applicant's program design. Through these efforts, DEHCR seeks not only to stabilize households in crisis, but also to reduce households' dependence on public assistance and to begin developing pathways out of poverty.

#### **CDBG**

DEHCR and the Wisconsin Economic Development Corporation (WEDC) will continue collaborative efforts with WHEDA and USDA-Rural Development to help local communities, particularly in rural areas, be aware of programs available to them and ways to leverage dollars to maximize both the impact of program dollars and the quality of housing and community development.

In the CDBG-PFED and CDBG-ED programs, priority will continue to be given to those projects that produce high wages and offer decent health insurance where the employer pays more than half the costs of the premiums. High wages are defined as an hourly amount that will provide for disposable income for a household after meeting basic shelter, food, and health costs.

#### **HOME**

HOME-HHR grantees hired 3 new Section 3 employees and trained 12 others that were considered Section 3.

#### **ESG**

In the ESG program, a number of grantees work with their clients to ensure that they become as self-sufficient as possible through case management and referral to mainstream resources.

### **Actions taken to develop institutional structure**

The need for CDBG housing and community development projects continues to exceed available funding. The allocation of CDBG funds that occurred in Program Year 2018 allowed DEHCR to focus needed resources on housing and public facility projects without adversely affecting DEHCR's ability to support appropriate economic development projects.

DEHCR supports collaborative efforts among local agencies and is working with partner agencies to identify opportunities for weatherization and housing to work together. DEHCR has hosted regional collaboration meetings to encourage agencies to work together and to identify and remove barriers. DEHCR believes this approach will lead to improved efficiencies for both programs and the clients we serve.

### **HOME**

DEHCR also has HOME programs to complement existing networks of service providers. DEHCR continues its long-term support of stable non-profit organizations that provide services in areas covering most of the state. Collaborative efforts among local agencies are strongly encouraged. Training and technical assistance continue to improve the delivery of housing and homeless assistance. The HOME-HHR program continues to work with approximately 17 non-profit organizations to develop a structure statewide. DEHCR has hosted regional collaboration meetings to encourage agencies to work together and to identify and remove barriers. DEHCR believes this approach will lead to improved efficiencies for both programs and the clients we serve.

### **ESG**

In 2019, DEHCR published an updated ESG program manual and is in the planning process to provide more training and technical assistance to grantees statewide. DEHCR encourages grantees to strengthen Continua of Care systems and collaborate with housing providers and mainstream resources.

## **Actions taken to enhance coordination between public and private housing and social service agencies**

In addition to the connection with WHEDA, DEHCR works in collaboration with many state agencies and partners as well as participates in coalitions of public and private sector housing organizations including:

1. Wisconsin Collaborative for Affordable Housing
2. Wisconsin Fair Housing Network
3. Childhood Lead Poisoning Committee
4. Wisconsin Fresh Start Projects
5. Interagency Council on Homelessness
6. Council of State Community Development Agencies (COSFDA)
7. Balance of State Continuum of Care
8. Racine Continuum of Care
9. Milwaukee Continuum of Care
10. Madison/Dane Continuum of Care

## **Identify actions taken to overcome the effects of any impediments identified in the jurisdiction's analysis of impediments to fair housing choice**

Units of General Local Governments who receive CDBG funding in the CDBG-PF, CDBG-PLNG, CDBG-PFED, and CDBG-ED programs are required to complete three actions to affirmatively further fair housing. DEHCR verifies these actions are completed through its program monitoring.

### **IMPEDIMENT #1: LACK OF STATE LAWS EQUIVALENCE TO FEDERAL LAW.**

Because the state's Open Housing Law did not include the provision of legal representation for the complainant or respondent in discrimination cases that proceed to administrative hearings or court, HUD has not certified Wisconsin as a "substantially equivalent" jurisdiction. This could result in less reporting of fair housing violations to the state as well as a potential loss of federal funds for administration, enforcement, education and outreach available to substantially equivalent jurisdictions.

**ACTION TO ADDRESS IMPEDIMENT #1: LACK OF STATE LAWS EQUIVALENCE TO FEDERAL LAW.**

Wisconsin Open Housing Law revisions made as part of the 2005-2007 Biennial Budget makes Wisconsin law equivalent to federal law. The revised Open Housing Law requires representation for the complainant by the Attorney General in cases where both the Department of Workforce Development and the Attorney General find probable cause. Representation by the Attorney General is provided for administrative and civil hearings, where the complainant elects to do so. In addition, at the request of the Department of Workforce Development the Attorney General will file a petition for a temporary injunction. Following the law changes, Wisconsin applied to HUD for substantial equivalency but it was not approved.

CDBG grantees are required to adopt a Fair Housing ordinance and to affirmatively further their Fair Housing ordinance by doing a minimum of three Fair Housing activities during the contractual period. Activities completed range from mailing informational letters to local realtors and lenders, doing local radio talks that cover Fair Housing principles, and writing articles to local newspapers that educate citizens about Fair Housing requirements, etc.

In addition, CDBG-Housing staff educated all of its potential and current grantees on Fair Housing laws and requirements during Application Training and Implementation Training.

**IMPEDIMENT #2: LOCAL LAND-USE REGULATIONS.**

Wisconsin's tradition of "home rule", embodied in the state constitution, means municipalities control most zoning and land use decisions (the siting of community residential facilities and environmental regulations are exceptions). The concern is that "home rule" allows communities to use ordinances to keep affordable and multifamily housing—frequently the routes by which lower-income, often minority, households enter a community—from being developed. In addition, the use of impact fees for new development raises the cost of new housing and increases the value of existing housing, thus generally reducing affordability. In short, zoning and impact fees can have the effect of "disparate impact". Policies that appear neutral on their face may actually increase segregation.

**ACTION TO ADDRESS IMPEDIMENT #2: LOCAL LAND-USE REGULATIONS.**

The success of state HOME recipients in promoting their program locally and providing affordable housing opportunities to all racial and ethnic groups is evidenced by the March 31, 2017, HOME Program Progress Dashboard. Per the 03/31/17 dashboard, non-white households account for 18.05 percent of the HOME rental projects, 9.69 percent of the homebuyer projects, and 6.45 percent of the homeowner rehab projects. These percentages compare favorably with the 2003 Census estimate of a 4.1 percent ethnic and minority population for the Wisconsin Balance of State (the category that closely aligns with the state's jurisdiction).

The HOME-RHD program continues to fund projects with three, four, or occasionally more bedrooms throughout the Balance of State area. HOME-RHD partners with WHEDA LIHTC on many of these projects.

The scoring system for the WHEDA LIHTC program applications includes preference points for developing large family units (3-bedroom or larger) intended for occupancy by residents with special needs, and units with accessible design.

**IMPEDIMENT #3: LOCAL DISCRIMINATION IN CONSUMER LENDING.**

The administrative code (DFI-Bkg 80.85) on discrimination in the granting of credit prohibits discrimination on the basis of sex or marital status only. Currently, a complainant would have to seek remedy from the Department of Workforce Development, Division of Equal Rights under the Open Housing Law.

**ACTION TO ADDRESS IMPEDIMENT #3: LOCAL DISCRIMINATION IN CONSUMER LENDING.**

The Wisconsin Department of Financial Institutions (DFI) has amended ss. DFI-Bkg 80.85 (1) and (2), and created s. DFI-BKG 80.85 (5), Wis. Admin Code (DFI-WCA1 Wisconsin Consumer Act), effective 09/17/05, to expand the bases of discrimination for consumer lending by banks. The rule makes discrimination on a prohibited basis in the granting or extension of credit an unconscionable credit practice. The rule now defines prohibited basis to include sex, marital status, age provided the applicant has the capacity to enter into a binding contract, race, creed, religion, color, disability, national origin, ancestry, sexual orientation, or membership in the military forces of the United States or this state; that all or part of the applicant's income derives from any public assistance program; and that the applicant has in good faith exercised any right under the Consumer Credit Protection Act or any state law.

**IMPEDIMENT #4: PREDATORY LENDING.**

While the effects of past predatory lending can still be seen across the state, and loans with abusive terms have created hardships among thousands of vulnerable households, recently enacted Wisconsin and federal laws have all but eliminated any new predatory loan activities from occurring. Stronger regulatory standards and heightened consumer awareness have improved lending practices in the state.

**ACTION TO ADDRESS IMPEDIMENT #4: PREDATORY LENDING.**

Although recent law changes have improved the lending environment, DFI continues to closely monitor mortgage bankers, brokers, and originators. In addition, they have made available some foreclosure prevention funds to assist borrowers that may still be affected by lending practices of the past.

In addition, DEHCR staff continues to support homebuyer education which includes predatory lending training. Lending options, which includes a predatory lending discussion, is part of mandatory homeowner education that all households receiving homebuyer assistance are required to attend.

Finally, one of the required activities in DEHCR's annual fair contract, currently with the Metropolitan Milwaukee Fair Housing Council (MMFHC), is to provide information and training on Fair Lending Laws and practices.

**IMPEDIMENT #5: PREDATORY APPRAISALS.**

Predatory appraisals, whether due to collusion between appraisers and lenders or due to pressure put on appraisers by a third party, decrease the affordability of housing by increasing fees that are based on the value of the home, and can result in decreased equity from homeownership. Legal protection

against predatory appraisals is limited. Appraisers are required by statute and professional ethics guidelines to appraise properties honestly, rather than on a predetermined basis.

**ACTION TO ADDRESS IMPEDIMENT #5: PREDATORY APPRAISALS.**

The changes in state and federal laws that regulate lending practices have made predatory appraisals less common statewide.

In Wisconsin, a real estate appraiser is a person engaged in the practice of real estate appraisal. Real estate appraisal means providing an analysis, opinion, or conclusion relating to the nature, quality, value, or utility of specified interests in or aspects of real estate. Wisconsin grants three types of credentials; licensed appraiser, certified residential appraiser, and certified general appraiser.

Federal mandate requires that after January 1, 1993, all appraisers must be licensed or certified by a state licensing agency in order to conduct appraisals which involve "federally related transactions." However, appraisers are not required to be licensed or certified in Wisconsin in order to perform "non-federally related transactions."

Licensing involves taking and passing a real estate appraisal exam. A handbook has been prepared by the Wisconsin Department of Safety and Professional Services to help applicants prepare for the exam. Among the subjects covered are social, governmental, legal, and regulatory aspects of real estate markets.

**IMPEDIMENT #6: HOUSING STOCK.**

The housing stock in Wisconsin provides limited housing choices for people with mobility impairments and for large families. Much of Wisconsin's housing stock is older and is not accessible for people with mobility impairments to do an initial inspection, thus limiting housing choice.

Housing choices for larger families are limited due to occupancy standards and the low availability of homes with many bedrooms. According to the 2000 Census, in owner-occupied housing, more than 77 percent of the units had three or more bedrooms. However, in rental housing, more than 76 percent of the rental housing stock had two or fewer bedrooms.

**ACTION TO ADDRESS IMPEDIMENT #6: HOUSING STOCK.**

Homebuyer new construction houses that were completed and occupied during this time period were constructed to provide for first floor visitability.

State-administered housing programs address the need for rental units for larger families through the HOME housing programs, including HOME-RHD, which continues to fund projects with three, four, or occasionally more bedrooms. The WHEDA LIHTC program provides preference points for developing large family units (3-bedroom or larger), units intended for occupancy by residents with special needs, and units with accessible design.

HOME-RHD funds projects with 0 (efficiency) bedrooms, up to four, or occasionally more bedrooms, and partners with WHEDA LIHTC on many of these projects.

For this reporting period, CDBG, HOME, NSP1, NSP3, and state-funded programs continued to provide housing assistance for special needs households.

**IMPEDIMENT #7: LANGUAGE BARRIERS.**

Wisconsin is home to many people who speak English as a second language (ESL) with varying levels of competency in the English language. It is possible that this population will continue to increase due to immigration. Wisconsin's ESL population tends to be lower-income, and thus have limited resources. Households with limited English language capacity are statistically less likely to be aware of their rights and of resources available to aid in cases of housing discrimination. In addition, these households may not be aware of other housing resources available, putting these households at a disadvantage in securing housing. Even households that are relatively fluent in English may find it easier to understand documents available in their first language.

**ACTION TO ADDRESS IMPEDIMENT #7: LANGUAGE BARRIERS.**

Some of DEHCR's grantees have access to interpreters to help conduct outreach and translate information for non-English speaking persons. They also produce non-English language program brochures.

DEHCR provided \$58,800 for a contract with the Metropolitan Milwaukee Fair Housing Council (MMFHC) to address language barriers and to undertake a variety of fair housing related activities in both HOME entitlement and non-entitlement areas, including:

- **Fair housing complaint intake, investigation and referrals.** (MMFHC received and processed complaints regarding discrimination based on race/color, national origin, sex, religion, disability, familial status, age, marital status, lawful source of income, sexual orientation, and ancestry.)
- **Conducting fair housing testing.** (MMFHC conducted fair housing testing activities, including activities in HOME non-entitlement areas.)
- **Offering referral services to clients with non-fair housing inquiries.** (MMFHC provided referral services to clients with questions on topics such as rent abatement, breaking a lease, and repair-related concerns, including those in HOME non-entitlement areas.)
- **Technical assistance in fair housing to Wisconsin residents, housing providers, and social service agencies throughout Wisconsin.** (MMFHC provided technical assistance, including instances in HOME non-entitlement areas. The assistance provided included clarification of fair housing law, information on legal and/or administrative interpretation of the law, information on the nature and extent of housing discrimination, and demographic data.)
- **Distribution of fair housing and fair lending educational materials.** (MMFHC distributed significant fair housing and fair lending materials on numerous occasions throughout Wisconsin.)
- **Conducting presentations, workshops, or trainings to provide information on fair housing and fair lending laws and practices.** (MMFHC planned and conducted workshops in in various locations around the state that covered fair housing law, fair lending, and predatory lending.)

## CR-40 MONITORING

Describe the standards and procedures that will be used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements.

### **CDBG**

Units of General Local Government who receive CDBG funding in the CDBG-PF, CDBG-PLNG, CDBG-PFED, and/or CDBG-ED program are monitored prior to project completion. A risk matrix is completed prior to monitoring to identify risk criteria. The outcome of the risk matrix determines whether a desk monitoring or on-site monitoring visit is required. Minority Business Enterprises/Women's Business Enterprises (MBE/WBE) utilization is reported by grantees. Funded projects are evaluated for compliance with UGLG comprehensive plans.

Annually, the CDBG-H program develops a risk analysis of the grantees to prioritize grantees for monitoring. The program staff conducts two monitoring reviews each month for program compliance. Among the items included in the review are:

- Financial management records,
- LMI benefit,
- Program outreach efforts,
- Administrative files,
- Individual project files, and
- On-site inspection of completed projects.

Program staff work with grantees to correct any identified deficiencies through the monitoring process. DEHCR annually lists its monitoring plan for the program year on its website including monitoring goals by program.

CDBG-SOAR subgrantees are monitored on an annual basis. Included in the monitoring are programmatic procedures, fiscal procedures, and interviews with CDBG-SOAR beneficiaries.

### **HOME**

Annually, the HOME-HHR housing program develops a risk analysis of the grantees to prioritize grantees for monitoring. Grantees are monitored once a year. Based on the number of grantees, program staff conducts two monitoring reviews each month for compliance. Any findings and concerns are documented, and a corrective action is developed to ensure long term compliance of program requirements.

HOME-RHD program staff develops a risk analysis of projects that are within their affordability period. Staff determine how often to monitor projects based on the results of the analysis. The program staff monitors all projects within twelve months of project completion, and then, based on the results of the risk analysis, projects are scheduled for monitoring at least once every three years. The HOME-RHD program staff conducts a minimum of two monitoring reviews each month; however, they frequently conduct additional monthly monitoring depending on the number of projects identified during the risk analysis.

Additionally, HOME-RHD has a Memorandum of Understanding (MOU) with WHEDA to jointly conduct on-site monitoring. Projects that receive on-site monitoring conducted by WHEDA undergo desk monitoring by program staff. Staff issues a formal monitoring letter to the grantee after completing the monitoring inspection. The letter outlines any issues or concerns found during the monitoring, identifies corrective action(s), and issues a date by which the grantee must have all actions completed.

Among the items included in HOME-HHR and HOME-RHD reviews are:

- Financial management records,
- LMI benefit,
- Program outreach efforts,
- Administrative files,
- Individual project files, and
- On-site inspection of completed projects.

HOME-TBRA subgrantees are monitored on an annual basis. Included in the monitoring are programmatic procedures, fiscal procedures, HQS inspection of at least one HOME-TBRA-funded unit, and interviews with HOME-TBRA beneficiaries.

### **ESG**

DEHCR's ESG program conducts in-person site monitoring visits and desk monitoring to ensure that grantees are in compliance with the requirements of the ESG program. Standard forms that touch on financial standards and ESG-specific requirements are used for site and desk monitoring. DEHCR also updated its ESG program manual to ensure grantees have an immediate resource when they have questions about ESG requirements.

### **HOPWA**

HOPWA project sponsor and subgrantees are monitored on an annual basis, including fiscal and program procedures review and interviews with HOPWA clients.

### **Description of the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

Consistent with its Communication Plan, DEHCR posted notice in the May 23, 2019 and May 30, 2019 issues of the Wisconsin State Journal that a public hearing would be held for input regarding the CAPER. A Public Hearing was held on June 7th at 101 E. Wilson St., Madison, WI 53707. DEHCR posted the draft 2018 Consolidated Annual Performance and Evaluation Report (CAPER) on the Division's website. DEHCR read, responded to, and recorded every e-mail received. To the extent possible, DEHCR incorporated public comments into the 2018 CAPER. No public comments were received during the comment period.

## CR-45 CDBG

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

In order to meet its job creation goals, DEHCR will work to increase marketing efforts for the Community Development Block Grant programs that create LMI jobs. Under the current lending environment of low interest rates, businesses are finding conventional loans more advantageous than the underwriting, compliance, and long-term reporting commitments required for CDBG funding. This barrier can be overcome through marketing and outreach to local stakeholders and by providing training to make the rules and regulations seem more manageable.

The CDBG-H program continues to prioritize homeowner rehabilitation. While DEHCR did not meet its estimated number of units rehabilitated, the CDBG-H program significantly improved the safety and efficiency of homes for the clients we serve. The CDBG-H program has experienced a shortage of contractors to work in the program; this is especially true of trade contractors, including plumbers and electricians. In addition, the CDBG-H program has seen a rise in the number of projects exceeding \$50,000 to make the property decent, safe, and sanitary.

Does this jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

Describe accomplishments and program outcomes during the last year.

N/A

## CR-50 HOME (HOME GRANTEEES ONLY)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations. Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

A total of 69 individual HOME RHD project sites were monitored during Program Year 2018, based on each projects' monitoring schedule. Monitored projects include:

<b>Contract #</b>	<b>Project Name</b>
RHDC-1309	NewCAP- King Street 1, Oconto Falls
RHDC-1403	NewCAP- King Street 2, Oconto Falls
RHDC-0732	CAP Services- Olen Park Senior Village- Clintonville
RHDC-0767	ICAA- Thorpe Project- Clark County
RHDC-0630	ICAA- Withee Housing Project- Clark County
RHDC-1118	CAP Services- City Walk Apartments- Wausau
RHDC-0742	ICAA- Birchwood Project- Washburn County
RHD-89205.13	Parkside Apartments- 231 Memorial Drive, Pulaski, WI
RHD-1201	Sister Bay Cottages (Blackstone Harbor Apartments) - 10525 Judith Blazer Dr. Sister Bay, WI
RHD-88205.05	Robert & Nyna Holmes Villa- 522 Chapple Ave. Ashland, WI
RHD-80208.174.059	One Penny Place/Northwoods 801 2nd Ave Woodruff, WI
RHD-1003	Riverwalk Place
80206.187.062	Uplands Sun Prairie
325	Heritage Court
88205.17	The Terraces of Geneva Crossing
88205.12	Turtle Lake Villas
403	Oliver Acres
87205.007.004	Cable Family Town Homes
80208.181.056	Glenwood Sr Living Community
RHDC-0516	Jackson County Transitional Housing (Boulton Refuge House)
RHDC-0519	Brodhead Senior Village
RHDC-1303	Renewal Unlimited
88205.06	Rice Lake II, LP
80208.182.057	Rice Lake III, LP
RHD-0877	WHPC-Neillsville I, LLC
RHD-0878	WHPC-Neillsville II, LLC
52	Assisi Homes-Gateway Inc

RHD-0985	Gerrard Corp.
88205.001.001	Community Action Inc. of Rock and Walworth Counties
RHD-0759	Hotel Northern LLC
88205.16	Edgewood Glen Sr Living Community
80208.183.058	Southlake Sr Cottages III
RHDC-1505	Pioneer Ridge
RHDC-1225	Riverside Senior
RHD-1504	Mission Village of Dodgeville
RHD-1108	Lynndale Village
RHD-0987	Mission Village Menasha
RHDC-1129	Village on the Water
RHDC-1417	Artists Lofts
RHD-1019	Mississippi Flats
RHD-1510	Cottages of Superior I
86205.05	Woodbury Village Apartments
80208.185.063	Pine Bend Townhomes
RHD-1414	Winfield Park
IMP09	Barron County Housing
IMP10	Franklin Elementary
RHDC-1223	CAP Services - Berlin Senior Village
RHDC-1093	CAP Services - Seymour Senior Village
89205.04.1	Fifth Street Home

Due to the extensive nature of monitoring responsibilities, a wide variety of file documentation and physical corrective items can be raised. Typical items brought up during physical inspections included repair or replacement of various household infrastructure and appliances. These included doors, walls, floor and ceiling repairs, as well as various issues associated with sinks/faucets, piping, light bulbs, gutters and windows. Grantees are notified of issues during an in-person exit interview at the time of inspection, as well as through a formal follow-up letter. All monitoring issues are explained, and corrective actions are described.

Provide an assessment of the jurisdictions' affirmative marketing actions for HOME units.

HOME recipients used the established affirmative marketing policies and procedures that HOME recipients must adopt. These policies and procedures are based upon the Code of Federal Regulations (CFR), specifically 24 CFR 92.351. HOME recipients must make an effort to inform potential applicants from all racial, ethnic, and gender groups in the housing market about available vacant units. In particular, they must inform persons in the housing market area that are not likely to apply for HOME-assisted housing.

During the program year, DEHCR did not receive any complaints concerning its recipients' affirmative marketing practices.

Data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

\$2,066,931 of new HOME program income was receipted into IDIS for the 2018 program year. \$160,438 of program income was used during the 2018 program year for the following HOME-HHR projects: Ashland, Western Dairyland.

Describe other actions taken to foster and maintain affordable housing. STATES ONLY: Including the coordination of LIHTC with the development of affordable housing.

The HOME-RHD program issues HOME project funds to increase the amount of existing affordable rental housing within the state. The program also monitors grantees to ensure compliance with affordability regulations. A major directive of the monitoring process is to ensure residents of HOME-assisted units are income-compliant with program stipulations. The HOME program is a consistent driver of new affordable housing stock within the state, as well as maintainer of existing affordable stock.

The HOME-RHD program routinely coordinates with WHEDA (Wisconsin's LIHTC-dispersing entity) to fund construction of new affordable housing stock and rehabilitate existing affordable housing stock. There are currently over 80 active projects in Wisconsin that contain both HOME and LIHTC funding.

## CR-55 HOPWA (HOPWA GRANTEES ONLY)

Number of Households Served Through	One-year Goal	Actual
Short-term rent, mortgage and utility assistance to prevent homelessness of the individual or family	279	165
Tenant-based rental assistance	0	0
Units provided in permanent housing facilities developed, leased or operated with HOPWA funds	0	0
Units provided in transitional short-term housing facilities developed, leased or operated with HOPWA funds	0	0
<b>Total</b>	279	165

**Table 14 – HOPWA Number of Households Served**

The program partly met anticipated outcomes for this reporting period. Challenges this year in meeting projected outcomes included potential clients not being named on a valid lease or utility bill and therefore not being eligible for housing assistance, as well as staff turnover. New staff has been hired, and with additional training, all staff will identify and enroll eligible clients to more effectively meet outcome goals.

## CR-60 ESG 91.520(G) (ESG RECIPIENTS ONLY)

### ESG Supplement to the CAPER in *e-snaps* For Paperwork Reduction Act

#### 1. Recipient Information—All Recipients Complete

##### Basic Grant Information

Recipient Name	WISCONSIN
Organizational DUNS Number	809036098
EIN/TIN Number	396028867
Identify the Field Office	MILWAUKEE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Wisconsin Balance of State CoC, Milwaukee COC, Madison/Dane CoC, Racine CoC

##### ESG Contact Name

Prefix	Ms.
First Name	Susan
Middle Name	0
Last Name	Brown
Suffix	0
Title	Acting Administrator

##### ESG Contact Address

Street Address 1	101 E. Wilson St., 5th Floor
Street Address 2	0
City	Madison
State	WI
ZIP Code	-
Phone Number	(608) 266-7531
Extension	0
Fax Number	0
Email Address	Susan.Brown@wisconsin.gov

##### ESG Secondary Contact

Prefix	Mr.
First Name	Landon
Last Name	Williams
Suffix	
Title	Policy Initiatives Advisor
Phone Number	(608) 267-9376
Extension	
Email Address	Landont.Williams@wisconsin.gov

#### 2. Reporting Period—All Recipients Complete

Program Year Start Date	04/01/2018
Program Year End Date	03/31/2019

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** WEST CENTRAL WI COMMUNITY ACTION AGENCY, INC

**City:** Glenwood City

**State:** WI

**Zip Code:** 54013, 8556

**DUNS Number:** 030016844

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 180,463

**Subrecipient or Contractor Name:** COMMUNITY ACTION COALITION OF SOUTH CENTRAL WISCONSIN

**City:** Madison

**State:** WI

**Zip Code:** 53704, 2605

**DUNS Number:** 07895681

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 42,777

**Subrecipient or Contractor Name:** HOMELESS ASSISTANCE LEADERSHIP ORGANIZATION

**City:** Racine

**State:** WI

**Zip Code:** 53403

**DUNS Number:** 197567089

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 123,165

**Subrecipient or Contractor Name:** ADVOCAP INC.

**City:** Fond Du Lac

**State:** WI

**Zip Code:** 54935, 4122

**DUNS Number:** 078934148

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 166,414

**Subrecipient or Contractor Name:** NORTH CENTRAL CAP INC

**City:** Wisconsin Rapids

**State:** WI

**Zip Code:** 54495, 1141

**DUNS Number:** 096826086

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 122,329

**Subrecipient or Contractor Name:** RENEWAL UNLIMITED INC.

**City:** Portage

**State:** WI

**Zip Code:** 53901, 3400

**DUNS Number:** 099140790

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 99,759

**Subrecipient or Contractor Name:** WESTERN DAIRYLAND ECONOMIC OPPORTUNITY COUNCIL

**City:** Independence

**State:** WI

**Zip Code:** 54747, 0125

**DUNS Number:** 084490762

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 143,642

**Subrecipient or Contractor Name:** NEWCAP, INC.

**City:** Oconto

**State:** WI

**Zip Code:** 54153, 1541

**DUNS Number:** 136478786

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 129,242

**Subrecipient or Contractor Name:** FAMILY PROMISE OF OZAUKEE COUNTY

**City:** Port Washington

**State:** WI

**Zip Code:** 53074

**DUNS Number:** 05957835

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 33,152

**Subrecipient or Contractor Name:** MADISON, CITY OF

**City:** Madison

**State:** WI

**Zip Code:** 53701, 2983

**DUNS Number:** 076147909

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Unit of Government

**ESG Subgrant or Contract Award Amount:** 405,782

**Subrecipient or Contractor Name:** KENOSHA HUMAN DEVELOPMENT SERVICES, INC.

**City:** Kenosha

**State:** WI

**Zip Code:** 53140, 3715

**DUNS Number:** 080500861

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 88,852

**Subrecipient or Contractor Name:** NORTHWEST WI CSA, INC.

**City:** Superior

**State:** WI

**Zip Code:** 54880, 1523

**DUNS Number:** 153452248

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 60,950

**Subrecipient or Contractor Name:** SOUTHWEST CAP

**City:** Dodgeville

**State:** WI

**Zip Code:** 53533, 1545

**DUNS Number:** 080507437

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 66,285

**Subrecipient or Contractor Name:** YWCA - LACROSSE

**City:** La Crosse

**State:** WI

**Zip Code:** 54603, 1755

**DUNS Number:** 941006900

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 181,773

**Subrecipient or Contractor Name:** YWCA ROCK COUNTY

**City:** Janesville

**State:** WI

**Zip Code:** 53546, 6203

**DUNS Number:** 556432995

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 178,168

**Subrecipient or Contractor Name:** LAKESHORE CAP, INC.

**City:** Manitowoc

**State:** WI

**Zip Code:** 54220, 4034

**DUNS Number:** 611777327

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 116,460

**Subrecipient or Contractor Name:** APPLETON, CITY

**City:** Appleton

**State:** WI

**Zip Code:** 54911, 4702

**DUNS Number:** 053090312

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Unit of Government

**ESG Subgrant or Contract Award Amount:** 167,988

**Subrecipient or Contractor Name:** THE SALVATION ARMY OF BURNETT COUNTY, WI

**City:** Siren

**State:** WI

**Zip Code:** 54872, 8042

**DUNS Number:** 150777253

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 60,646

**Subrecipient or Contractor Name:** House of Hope, Inc.  
**City:** Green Bay  
**State:** WI  
**Zip Code:** 54303, 2665  
**DUNS Number:** 618259688  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 212,912

**Subrecipient or Contractor Name:** FAMILY PROMISE OF WASHINGTON COUNTY  
**City:** West Bend  
**State:** WI  
**Zip Code:** 53095  
**DUNS Number:** 961923401  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 54,990

**Subrecipient or Contractor Name:** COMMUNITY DEVELOPMENT GRANTS ADMINISTRATION  
GR1535312601  
**City:** Milwaukee  
**State:** WI  
**Zip Code:** 53202, 3515  
**DUNS Number:** 006434211  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Unit of Government  
**ESG Subgrant or Contract Award Amount:** 367,534

**Subrecipient or Contractor Name:** Housing Action Coalition of Waukesha County, Inc.  
**City:** Waukesha  
**State:** WI  
**Zip Code:** 53186  
**DUNS Number:** 006959488  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 78,496

## CR-65 ESG PERSONS ASSISTED (ESG RECIPIENTS ONLY)

This requirement is replaced by the SAGE System requirement.

**8. Shelter Utilization**

	Number of Units
Number of New Units - Rehabbed	-
Number of New Units - Conversion	-
Total Number of bed-nights available	1,360,355
Total Number of bed-nights provided	523,044
Capacity Utilization	38.45%

**Table 15 – Shelter Utilization**

**9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)**

Emergency Solutions Grant (ESG) funding was distributed using a formula incorporating need and performance data, with a goal of rewarding those who are best aligning their homeless service systems towards preventing and ending homelessness. Information describing the proposed formula measures was sent to the leadership of the four Wisconsin HUD Continua of Care for feedback. The formula distributes funding among predetermined county groupings based on historical partnership for previous grant competitions, the HUD Continuum of Care process, and geographic proximity. The formula is comprised of HMIS data describing homelessness, an average of monthly census counts, and HUD system performance measures.

The four HUD system performance measures used this year are:

- average length of homelessness
- successful placement in or retention of permanent housing
- reoccurrence to homelessness, and
- first time homelessness.

This was the third year these measures were used; as such, they were almost fully phased in. DEHCR anticipates continuing to increase system performance weight each year until it reaches parity with homelessness and monthly census counts. Applicants were also required to use performance data when distributing funds at the local level, and they came up with a plan for improving local system performance.

## CR-75 ESG EXPENDITURES (ESG RECIPIENTS ONLY)

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year			
	2015	2016	2017	2018
Expenditures for Rental Assistance	\$85,887	\$108,601	\$92,538	\$80,654
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$76,112			
Expenditures for Housing Relocation & Stabilization Services - Services	\$103,263	\$99,540	\$84,818	\$123,534
Expenditures for Homeless Prevention under Emergency Shelter Grants Program				
<b>Subtotal Homelessness Prevention</b>	<b>\$265,262</b>	<b>\$208,141</b>	<b>\$177,356</b>	<b>\$204,188</b>

Table 16 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year			
	2015	2016	2017	2018
Expenditures for Rental Assistance	\$297,173	\$721,251	\$698,713	\$765,664
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$70,634			
Expenditures for Housing Relocation & Stabilization Services - Services	\$296,389	\$613,104	\$593,945	\$677,443
Expenditures for Homeless Assistance under Emergency Shelter Grants Program				
<b>Subtotal Rapid Re-Housing</b>	<b>\$664,196</b>	<b>\$1,334,355</b>	<b>\$1,292,658</b>	<b>\$1,443,107</b>

Table 17 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year			
	2015	2016	2017	2018
Essential Services	\$540,469	\$761,977	\$702,096	\$708,344
Operations	\$527,285	\$655,135	\$603,650	\$666,683
Renovation				
Major Rehab				
Conversion				
<b>Subtotal</b>	<b>\$1,067,754</b>	<b>\$1,417,112</b>	<b>\$1,305,746</b>	<b>\$1,375,027</b>

Table 18 – ESG Expenditures for Emergency Shelter

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year			
	2015	2016	2017	2018
HMIS	\$135,844	\$156,199	\$142,269	\$147,007
Administration	\$ 133,697	\$96,693	\$105,651	\$110,687
Street Outreach	\$97,085	\$143,163	\$93,929	\$92,396

**Table 19 - Other Grant Expenditures**

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	2015	2016	2017	2018
	\$2,293,898	\$3,355,663	\$3,117,609	\$3,372,412

**Table 20 - Total ESG Grant Funds**

**11f. Match Source**

	2015	2016	2017	2018
Other Non-ESG HUD Funds	\$215,289	\$112,073	\$239,683	\$293,638
Other Federal Funds	\$121,121	\$147,298	\$53,972	\$222,713
State Government	\$1,371,539	\$1,515,000	\$1,571,600	\$1,499,782
Local Government	\$180,861	\$105,018	\$264,086	\$256,933
Private Funds	\$803,368	\$759,746	\$775,605	\$1,368,363
Other	\$368,321	\$431,716	\$807,940	\$649,508
Fees	\$0	\$0	\$0	\$7,712
Program Income	\$24,195	\$3,408	\$0	\$42,441
<b>Total Match Amount</b>	<b>\$3,084,694</b>	<b>\$3,074,259</b>	<b>\$3,712,886</b>	<b>\$4,341,090</b>

**Table 21 - Other Funds Expended on Eligible ESG Activities**

**11g. Total**

Total Amount of Funds Expended on ESG Activities	2015	2016	2017	2018
	\$5,378,592	\$6,429,922	\$6,830,495	\$7,713,502

**Table 22 - Total Amount of Funds Expended on ESG Activities**

## ADDITIONAL ACCOMPLISHMENTS REPORTING